



# Direct Optimal Mapping for 21 cm Cosmology: A Demonstration with the Hydrogen Epoch of Reionization Array

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Received 2022 April 11; revised 2022 August 23; accepted 2022 September 6; published 2022 October 20

## Abstract

Motivated by the desire for wide-field images with well-defined statistical properties for 21 cm cosmology, we implement an optimal mapping pipeline that computes a maximum likelihood estimator for the sky using the interferometric measurement equation. We demonstrate this “direct optimal mapping” with data from the Hydrogen Epoch of Reionization (HERA) Phase I observations. After validating the pipeline with simulated data, we develop a maximum likelihood figure-of-merit for comparing four sky models at 166 MHz with a bandwidth of 100 kHz. The HERA data agree with the GLEAM catalogs to  $< 10\%$ . After subtracting the GLEAM point sources, the HERA data discriminate between the different continuum sky models, providing most support for the model of Byrne et al. We report the computation cost for mapping the HERA Phase I data and project the computation for



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the HERA 320-antenna data; both are feasible with a modern server. The algorithm is broadly applicable to other interferometers and is valid for wide-field and noncoplanar arrays.

*Unified Astronomy Thesaurus concepts:* [H I line emission \(690\)](#); [Aperture synthesis \(53\)](#); [Interferometers \(805\)](#); [Radio astronomy \(1338\)](#); [Radio interferometry \(1346\)](#)

## 1. Introduction

Observations of the 21 cm spectral line of neutral hydrogen during the epoch of reionization (EoR), cosmic dawn, and the dark ages have the potential to transform our understanding of the universe. Goals of current experiments are to map the process of the formation and evolution of the first stars, galaxies, and black holes, to further constrain the prevailing  $\Lambda$ CDM cosmology (Bennett et al. 1996, 2013; Hinshaw et al. 2013; Planck Collaboration et al. 2020), and to search for evidence of physics beyond the  $\Lambda$ CDM paradigm. For reviews see Liu & Shaw (2020), Mesinger (2019), Morales & Wyithe (2010), and Pritchard & Loeb (2012). Current and recent interferometric experiments aiming to detect cosmological 21 cm signals include CHIME (Bandura et al. 2014), HIRAX (Newburgh et al. 2016), PAPER (Parsons et al. 2010), the Murchison Widefield Array (MWA; Tingay et al. 2013), LOFAR (van Haarlem et al. 2013), and HERA (DeBoer et al. 2017).

The ultimate goal of precision cosmology with the 21 cm line is a quantitative comparison between theoretical predictions of neutral hydrogen structures and their measurements at radio wavelengths. This confrontation between theory and experiments using interferometers is beginning with two-point statistics (two-point correlation function or power spectrum), and is likely to develop further with higher-order statistics and, finally, direct evaluation of properties of 3D (two angular dimensions and one frequency dimension) image cubes.

Radio interferometers measure the coherence, or visibility, between signals received by pairs of antennas in an array. For coplanar arrays and small fields of view, the relationship between the measured visibilities and the brightness distribution on the sky is approximately a 2D Fourier transform (Thompson et al. 2017), and is therefore closely related to the power spectrum (Morales & Hewitt 2004). Wider fields of view can be accommodated with the 2D Fourier technique by implementing corrections for neglecting the “ $w$ -term” in the interferometric phase (Cornwell et al. 2005, 2012; Barry et al. 2019; Ye et al. 2021). Current limits on the 21 cm power spectrum have been derived by analyses that make use of the image-visibility Fourier relationship (Dillon et al. 2014, 2015a; Beardsley et al. 2016; Trott et al. 2016; Patil et al. 2017; Barry et al. 2019; Li et al. 2019; Rahimi et al. 2021) and by analyses that work directly with the visibility data through the delay spectrum approach (Parsons et al. 2012; Kolopanis et al. 2019; The HERA Collaboration 2022). The two approaches are complementary, essentially measuring different statistics in the sky (Morales et al. 2019).

Images mapped from visibilities, and convolved by the array synthesized beam, are called dirty images. To deconvolve the dirty images, the CLEAN (Högbom 1974; Clark 1980; Cornwell 2008; Rau & Cornwell 2011) deconvolution algorithm is frequently used. The resulting CLEAN model is a list of deconvolved bright sources, which are the focus of astronomy and astrophysics. However, the focus of 21 cm precision cosmology is the faint diffuse emission, which is not corrected by CLEAN. A deconvolution approach is required to treat all

pixels equally across the image. Even for bright sources, CLEAN is a heuristic, iterative process, and the statistical properties of the resulting image are not well known. For cosmological studies, we need an algorithm that can map wide fields and that can reconstruct bright point sources and faint diffuse emission with equally well-understood statistics. Other ways to image and deconvolve images have been explored. Some examples are fast holographic deconvolution and other forward modeling techniques (Sullivan et al. 2012; Bernardi et al. 2013) and  $m$ -mode mapping (Shaw et al. 2014; Eastwood et al. 2018). For a recent review, see Liu & Shaw (2020).

In this paper we explore *direct optimal mapping* (DOM) of interferometric data, and we apply it to HERA data as a demonstration. By *direct*, we mean that we do not make the assumptions that lead to the 2D Fourier transform relationship between data and image, and we take *optimal* to mean that the mapping process does not lose information of model parameters. The optimal mapping approach was first explored in the context of cosmic microwave background observations (Tegmark 1997). It has more recently been extended to interferometric imaging for 21 cm cosmology (Morales & Matejek 2009; Sullivan et al. 2012; Dillon et al. 2015b; Zheng et al. 2017a), and considerations of optimal mapping are incorporated in the HERA design (Dillon & Parsons 2016).

Benefits of this approach include a data product in the image domain that potentially covers the full celestial sphere, full knowledge of the point-spread function in all directions, and full knowledge of the covariance matrix relating map pixels. Linear deconvolution, implemented through matrix operations, is in principle possible, treating point sources and extended emission equally. With the direct inversion of the instrument response, it is not necessary to correct for neglect of the  $w$ -term, and the configuration of the antennas need not be coplanar.

The paper is organized as follows. We introduce the DOM general mathematical formalism in Section 2, and how we apply the formalism to HERA data in Section 3. We validate the algorithm with simulated data in Section 4. In Section 5, we use DOM to map HERA data, and evaluate four sky models. In Section 6, we assess the computational costs of DOM. We conclude in Section 7.

## 2. Formalism

Interferometers measure intensity as complex visibilities, defined as

$$V_{ij}(\nu) = \int B_{ij}(\hat{s}) I(\hat{s}) \exp\left(-i \frac{2\pi\nu}{c} \mathbf{b}_{ij} \cdot \hat{s}\right) d\Omega, \quad (1)$$

where  $\hat{s}$  is the unit vector pointing to a certain point on the sky,  $B_{ij}(\hat{s})$  is the product of two primary beams from the  $i-j$  baseline,<sup>30</sup>  $I(\hat{s})$  is the specific intensity,  $\mathbf{b}_{ij}$  is the baseline vector,  $\nu$  and  $c$  are the observation frequency and the speed of light.

<sup>30</sup> The primary beams are peak-normalized.

If we denote the sky as a map vector  $\mathbf{m}$  and the measured visibilities to form a data vector  $\mathbf{d}$ , the mapping can be expressed by one matrix multiplication

$$\mathbf{d} = \mathbf{A}\mathbf{m} + \mathbf{n}, \quad (2)$$

where  $\mathbf{A}$  is the measurement matrix, and  $\mathbf{n}$  is the noise vector for the visibilities. The  $\mathbf{A}$  matrix maps the sky to visibilities.

With this data model, an optimal estimator of the sky is obtained with the following operation:

$$\hat{\mathbf{m}} = \mathbf{D}\mathbf{A}^\dagger \mathbf{N}^{-1}\mathbf{d}, \quad (3)$$

where  $\mathbf{N} = \langle \mathbf{n}\mathbf{n}^\dagger \rangle$  is the noise covariance matrix,  $\mathbf{D}$  in general transforms the raw map to the final estimation of the true sky, and  $\hat{\mathbf{m}}$  is an estimate of the true sky. In this paper, we only format  $\mathbf{D}$  as a normalization to physics units; we leave more complicated  $\mathbf{D}$  formats, say including deconvolution, to future publications. Even as a normalization matrix,  $\mathbf{D}$  does not have a set form, and we discuss our choice of  $\mathbf{D}$  in Section 3.2.

DOM calculates direction-dependent point-spread functions (PSFs) for all pixels, expressed in a  $N_{\text{pixel}} \times N_{\text{pixel}}$  matrix  $\mathbf{P}$  (Dillon et al. 2015b):

$$\mathbf{P} = \mathbf{D}\mathbf{A}^\dagger \mathbf{N}^{-1}\mathbf{A}. \quad (4)$$

For interferometers, the pixel noise is highly correlated. Therefore, the pixel covariance matrix is critical for quantitative interpretation of the measured sky map. One advantage from DOM is that it provides a pixel covariance matrix. The covariance matrix  $\mathbf{C}$  is closely related to the PSF matrix (Dillon et al. 2015b):

$$\mathbf{C} = \mathbf{D}\mathbf{A}^\dagger \mathbf{N}^{-1}\mathbf{A}\mathbf{D}^\dagger = \mathbf{P}\mathbf{D}^\dagger. \quad (5)$$

To use DOM, we first need to construct the measurement matrix  $\mathbf{A}$  and the noise matrix  $\mathbf{N}$ . We divide the sky into HEALpixels (Górski et al. 2005) with the pixel size chosen to be much smaller than the synthesized beam. Then the integral in Equation (1) becomes a summation:

$$d_n = V_n(\nu) = \sum_k m_k B(\hat{\mathbf{s}}_{n,k}) \exp\left(-i \frac{2\pi\nu}{c} \mathbf{b}_n \cdot \hat{\mathbf{s}}_{n,k}\right), \quad (6)$$

where the subscript  $n$  represents all visibilities (folding  $ij$  into one index), the subscript  $k$  represents all pixels,  $d_n$  is an element of the data vector  $\mathbf{d}$ ,  $\hat{\mathbf{s}}_{n,k}$  is the directional vector in the horizon coordinate system, and  $m_k$  is the flux within one pixel calculated as  $m_k = I_k \cdot \Delta\Omega$  ( $\Delta\Omega$  is the solid angle of one HEALpixel). Please note that approximating spatially extended flux to the center of HEALpixels leads to errors, especially when the in-pixel flux distribution is strongly skewed by bright off-center point sources. This will be discussed later in the treatment of the GLEAM catalogs in Section 5.1. In the above equation, we assume all the antenna pairs have the same primary beam  $B$ , and  $\hat{\mathbf{s}}_{n,k}$  implicitly depends on time because of sky rotation.

Then the  $\mathbf{A}$  matrix is written elementwise:

$$A_{nk} = B(\hat{\mathbf{s}}_{n,k}) \exp\left(-i \frac{2\pi\nu}{c} \mathbf{b}_n \cdot \hat{\mathbf{s}}_{n,k}\right) \quad (7)$$

$$= B(\hat{\mathbf{s}}_{n,k}) \cdot \Phi_{n,k}, \quad (8)$$

where the second line shows that the beam term  $B(\hat{\mathbf{s}}_{n,k})$  and the fringe term  $\Phi_{n,k}$  are separable. We will use this feature in Section 3.2 for normalization.

### 3. Application to HERA

In this paper, we use HERA data from the Phase I observation season, with 39 operational antennas. This is the data set that was used to derive the Phase I EoR power spectrum limits, and we refer the reader to Kern et al. (2019); Dillon et al. (2020); Kern et al. (2020a, 2020b), and The HERA Collaboration (2022) for a detailed discussion of the data preparation. We only map the east–west polarization for this demonstration because Byrne et al. (2022) shows that this patch of the sky is predominantly unpolarized.

Briefly, the data set spans the dates of 2017 December 10–28, and the data were calibrated, flagged, and binned by local sidereal time. Certain instrumental systematics, including crosstalk and cable reflection, were modeled and subtracted. HERA is designed to have a redundant array configuration (Dillon & Parsons 2016), the resulting nonredundant baseline groups poorly sample the  $uvw$  space, making HERA not an ideal instrument for imaging. However, the focus of DOM is to make images with well-understood statistical properties, regardless of the resolving power of the images themselves.

We have developed a software package<sup>31</sup> for the DOM algorithm. The diagram in Figure 1 illustrates steps of the algorithm. Although the package is initially implemented in HERA data, it can be easily applied to other interferometric data.

#### 3.1. Calculating $\mathbf{A}$ and $\mathbf{N}$

The sky pixels are defined in the *equatorial coordinate system*, meaning that the pixels are independent of Earth rotation. For a zenith-pointing telescope, both the primary beam  $B$  and the unit vectors  $\hat{\mathbf{s}}_{n,k}$  are natively defined in the *horizon coordinate system*. At each time integration, the pixel locations are converted from the equatorial coordinate system to the horizon coordinate system (R.A./decl.  $\rightarrow$  Az/EI). Then with the baseline vectors ( $\mathbf{b}_n$  in Equation (7)), all the elements of the  $\mathbf{A}$  matrix are calculated.

The input data are first conditioned by selecting visibilities, estimating the visibility noise, removing flagged data, and averaging redundant baselines.<sup>32</sup> We estimate the noise for each visibility according to the radiometer equation (Thompson et al. 2017; Kern et al. 2020a)

$$\sigma_n = \frac{\sqrt{V_{ii}V_{jj}}}{\sqrt{\Delta\nu\Delta t}}, \quad (9)$$

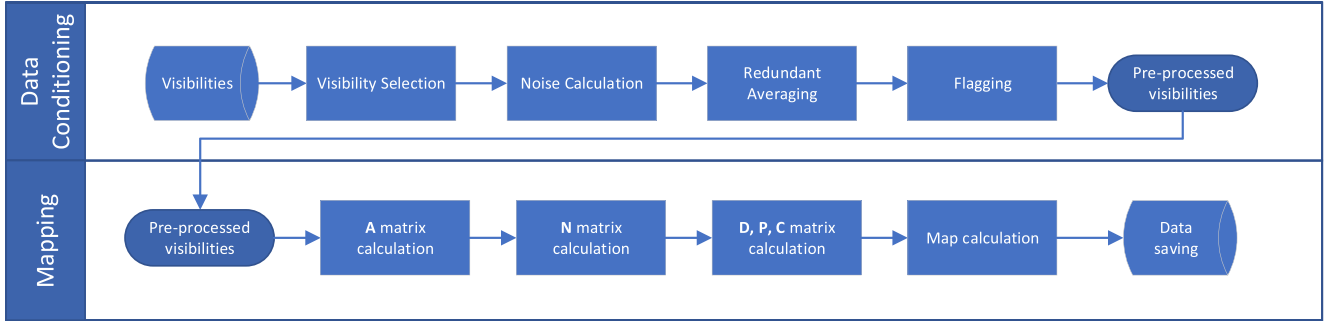
where  $\sigma_n$  is the estimate of the noise of the visibility between antenna  $i$  and  $j$ ,  $V_{ii}$  and  $V_{jj}$  are autocorrelations of antenna  $i$  and  $j$ ,  $\Delta\nu$  is the bandwidth, and  $\Delta t$  is the integration time. When visibilities are redundant-averaged, the estimated noise for each redundant-averaged baseline is calculated as

$$\sigma_{\text{red. avg.}} = \left(\sum_n \frac{1}{\sigma_n^2}\right)^{-1/2}, \quad (10)$$

where the summation runs over visibilities from redundant baselines within one redundant group.

<sup>31</sup> The “direct optimal mapping” repository is developed on [GitHub](#); the first release version (v1.0.0, Zenodo, doi:10.5281/zenodo.6984370) is used for the analyses in this paper.

<sup>32</sup> Given the manageable amount of data, we do not perform redundant averaging for HERA Phase I data. However, we will need redundant averaging for future HERA data with 320 antennas.



**Figure 1.** Diagram of the direct optimal mapping. The two major modules, *data conditioning* and *mapping*, are displayed on the left; the detailed steps are shown in the flowchart. More details of each step are described in Section 3.

The noise matrix  $N$  is constructed by filling the diagonal elements with the visibility noise squared, assuming off-diagonal elements are zero:

$$N = \text{diag}(\sigma_1^2, \sigma_1^2, \dots, \sigma_{N_{\text{vis}}}^2), \quad (11)$$

where  $\sigma_n$  is the noise of the  $n$ th visibility.

### 3.2. Map Normalization

The DOM formalism only requires the  $D$  matrix being nonsingular to preserve all information of model parameters. In practice, different normalization can be applied for a different map-based analysis.

Without normalization, the mapping equation  $\hat{m} = A^\dagger N^{-1}d$  adds up contribution from all visibilities as a sum. To calculate the average, we need the effective weights, which varies across pixels because of the primary beam. In addition, sky drift complicates the weighting because it moves the primary beam on the sky. Therefore, we need a weighting of the visibilities considering a moving primary beam for each sky pixel.

For HERA, the instrument observes the sky naturally with one primary beam applied. After that, noise is introduced in visibilities. In DOM, we apply another primary beam from multiplying  $A^\dagger$  in the mapping equation (Equation (3)). Therefore, the recovered sky has the primary beam applied *twice*—one from observation and one from mapping. However, the noise only has the primary beam applied *once* from mapping.

Because of the difference, there does not exist an obvious way to correct for the primary-beam effect in normalization. We may correct for the primary beam *twice*, and the recovered sky will have no primary beam applied; however, the noise will have one extra primary beam corrected, blowing up the noise far away from the beam center. Alternatively, if we correct for the primary beam *once*, the recovered sky will still have one primary beam applied, but the map noise will be free of primary-beam effect. Here we choose to correct for the primary beam *once*, and define the normalization matrix  $D$  accordingly.

Inspired by Barry et al. (2019), we use the optimal mapping equation but replace the visibility data with a vector of ones for counting. Then, we divide  $\hat{m}$  by the weight map for normalization. However, the weight map has zero values because of the small-scale fringe term in the  $A$  matrix, which are numerically unstable in the denominator. Instead, we use only the primary-beam term in the  $A$  matrix to construct the weight map.

We first construct another version of  $A$  with only the beam term (i.e., setting the exponential term equal to unity), which

we call  $A_B$ :

$$(A_B)_{nk} = B(\hat{s}_{n,k}). \quad (12)$$

We then calculate the weight map

$$m_{\text{weight}} = A_B^\dagger N^{-1} \mathbf{1}, \quad (13)$$

where  $\mathbf{1}$  is an all-ones vector counting all visibilities. The weight map is constructed following the exact sampling of the visibility measurement and the noise weighting. We divide the weight map out of  $\hat{m}$  to turn the visibility summations to averages. Figure 2 shows an example of the weight map.

Finally, we define the  $D$  matrix as

$$D = \text{diag}\left(\frac{1}{m_{w,1}}, \frac{1}{m_{w,2}}, \dots, \frac{1}{m_{w,N_{\text{pixel}}}}\right), \quad (14)$$

where  $m_{w,i}$  is the  $i$ th element in  $m_{\text{weight}}$ . This definition accounts for the differing contribution of the visibilities to each point on the map given the primary beam. However, the primary-beam effect, from observation, is still left in the map, meaning that sources away from the zenith are attenuated by the primary beam.

With  $A$ ,  $N$ ,  $D$ , and the conditioned HERA data  $d$ , the map estimator  $\hat{m}$  is calculated with Equation (3). The PSF and the covariance matrices ( $P$  and  $C$ ) are also calculated with Equations (4) and (5).

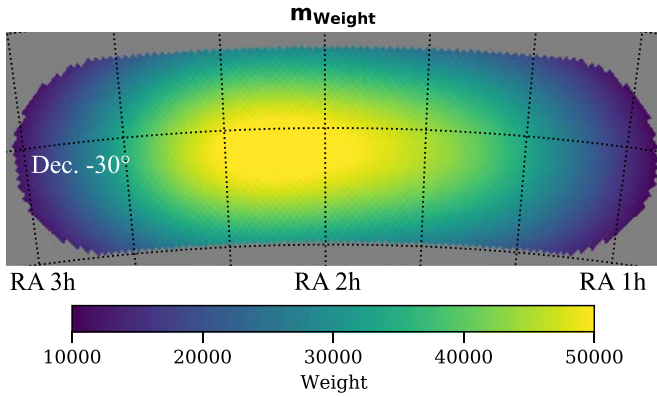
## 4. Algorithm Validation

In this section, we use simulated data to validate the DOM algorithm.

### 4.1. Map Validation

We generate simulated data with the HERA Phase I array configuration, through a pipeline independent of DOM. The simulated data are verified to be consistent with the `pyuvsim` simulation (Lanman et al. 2019) to machine precision (Kim et al., 2021 in preparation). We did not use `pyuvsim` because our simulator is tested to be more computationally efficient.

We use the Global Sky Model (GSM08; de Oliveira-Costa et al. 2008) as our model of the true sky for one 20 s integration at 166 MHz. Then we use DOM to map the simulated visibilities, and compare the map to convolved GSM08. Specifically, the convolved GSM08 is calculated by multiplying the  $P$  matrix and the GSM08 sky model. Without noise, the two maps are consistent at  $10^{-7}$  levels (Figure 5). Getting to

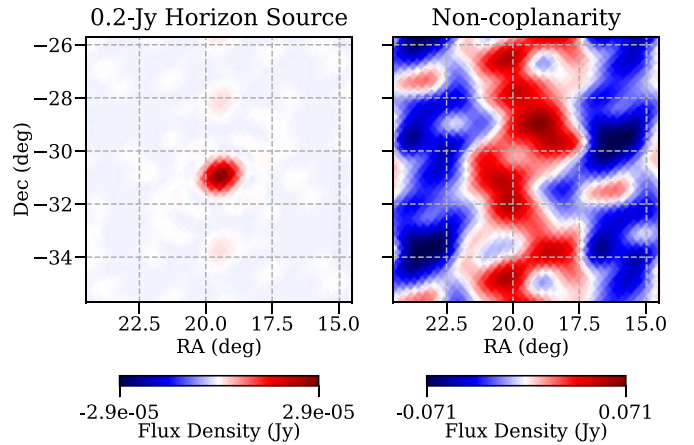


**Figure 2.** An example of the weight map defined in Equation (13). The map spans two hours in R.A. The fact that there is more effective weight on the left side of the region is due to lower noise (higher weighting) when observing that region, which results from longer integration time and lower intrinsic sky noise.

the  $10^{-7}$  consistency, we quantitatively characterized various factors that affect the mapping results:

1. *Primary beams.* The residual map is very sensitive to the primary beam. We use the Computer Simulation Technology (CST)-simulated single-antenna primary beam (Fagnoni et al. 2021), which describes the beam pattern in electric fields with  $1^\circ$  angular grid and 0.5 MHz frequency resolution. However, our mapping requires  $< 0.25^\circ$  angular resolution and 0.1 MHz frequency resolution. We need to interpolate the simulated beam pattern in both angular and frequency space. We use the UVBeam object within the pyuvdata package (Hazelton et al. 2017) to perform the interpolation. When the beam pattern is rotated by  $90^\circ$ , the residual increases up to 30% of the original map. Moreover, interpolating in electric fields or in power also lead to  $10^{-4}$  differences in the residual maps. This sensitivity to the primary beam indicates the possibility to constrain the primary beams with DOM maps.
2. *Horizon contribution.* The simulation includes all signals above horizon. We found that if we only convolve the sky signals within  $50^\circ$  around the zenith, the residual is 30%–50% of the peak value. Furthermore, Figure 3 (on the left) shows the map difference when a typical point source is added near the horizon ( $85^\circ$  away from the zenith). The source leaks into the zenith field at  $10^{-4}$  level. Considering the significant solid angle around the horizon, this demonstrates the necessity to correctly include signals within the entire observable hemisphere (Pober et al. 2016), perhaps even accounting for the terrain near the horizon (Bassett et al. 2021), to model the foreground.
3. *Coplanarity.* We estimate the effect of array coplanarity by comparing the mapping results with and without assuming the antennas are on a plane. The HERA dishes deviate randomly from a perfect plane by about 4 cm, and Figure 3 (on the right) shows the resulting 5% level difference from ignoring the noncoplanarity.

DOM calculates the direction-dependent PSFs across the field. Figure 4 shows PSFs in four pixels from the field center to near horizon. The synthesized beam and the grating lobe pattern become increasingly distorted as the pixel moves away from the field center, illustrating the importance of considering direction-dependence PSFs.



**Figure 3.** Validation-characterized effects: horizon source and array coplanarity. Both maps are centered on the zenith. Left: a point source, with a typical flux density (0.2 Jy at 166 MHz), is added  $85^\circ$  away from the zenith. The source signal is picked up by the sidelobes of the primary beam and leaks into the zenith field via grating lobes at the  $10^{-4}$  level. Right: noncoplanarity residual. Comparing to the original map in Figure 5, the difference increases to 5% of the original map with the GSM08 sky model.

After mapping the noiseless simulation, we add noise to the visibilities. Specifically for a simulated visibility  $d_n$ , we draw independent random noise with the amplitude of  $\sigma_n/\sqrt{2}$  for the real and imaginary parts. The noisy visibilities are then mapped to compare with the convolved sky model. Figure 5 shows the results with and without adding noise to the simulations. Without noise, the residual map is at  $10^{-7}$  levels. With noise, the residual map amplitude is  $\sim 10\%$ , six orders of magnitude higher than the noiseless residual map. Further investigation shows that the residual pattern changes for each random noise realization, confirming that it is random-noise dominated.

#### 4.2. Pixel Covariance Matrix

The coherent noise pattern (Figure 5, bottom right) shows the correlated noise in map space. One feature of DOM is that it provides a robust noise covariance matrix  $\mathbf{C}$  to capture the correlation. The pixel correlation results from the instrument's incomplete  $uvw$  space sampling. There are patterns in the sky to which the array configuration is not sensitive.

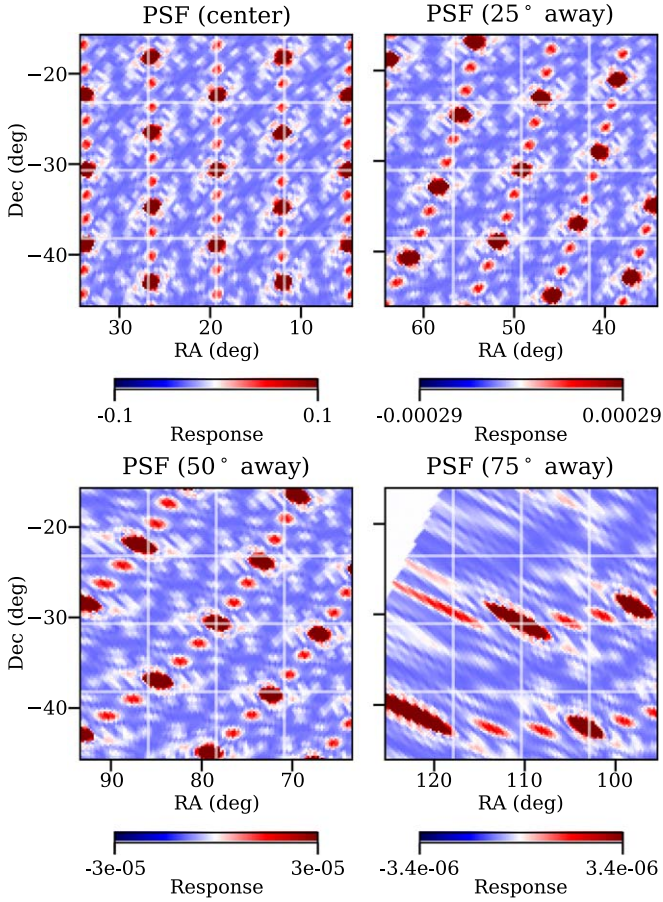
The noise covariance matrix  $\mathbf{C}$  is closely related to the PSF matrix  $\mathbf{P}$  by multiplying  $\mathbf{D}^T$  on the right (Equation (5)). With  $\mathbf{C}$ , we define a maximum likelihood figure-of-merit (FoM) to evaluate residual maps using the pixel covariance:

$$\text{FoM} = \Delta \mathbf{m}^T \mathbf{C}^{-1} \Delta \mathbf{m}, \quad (15)$$

where  $\Delta \mathbf{m}$  is the map vector of one residual map. Since  $\mathbf{C}$  is not invertible, we first eigendecompose  $\mathbf{C}$

$$\mathbf{C} = \mathbf{V} \mathbf{W} \mathbf{V}^{-1} \quad (16)$$

where  $\mathbf{V}$  and  $\mathbf{W}$  are the eigenvectors and eigenvalues. Since  $\mathbf{C}$  is singular,  $\mathbf{W}$  has zero elements. Figure 6 shows the eigenvalues in descending order. A clear drop is seen around the one-hundred-and-eightieth eigenvalue, this is related to the number of nonredundant modes that HERA Phase I array measures. In addition, the fact that the simulation data only has one time integration leads to the sharp drop in eigenvalue spectrum. Eigenvalue spectra look different when we map multiple time integrations in Section 5.2.



**Figure 4.** Point-spread function (PSF) in  $30^\circ \times 30^\circ$  maps at 166 MHz. The four maps show the field center and  $25^\circ$ ,  $50^\circ$ ,  $75^\circ$  away from the field center. The white area in the  $75^\circ$  plot is beyond the horizon. The full width at half maximum of the synthesized main beam at the field center is 50–60 arcminutes, close to the diffraction limit defined by the longest baseline. Grating lobes are seen in hexagonal patterns, which are distorted as we move away from the field center, especially in the  $75^\circ$  away map. As shown, DOM provides direction-dependent PSF information across the sky. The grating lobes cause the difference between the original and the convolved GSM08 in Figure 5. The peak values change because of the primary-beam attenuation at the four pixels. The color bars saturate at 10% of the peak values to illustrate faint sidelobe structures.

Because  $\mathbf{C}$  is symmetric, we can choose  $\mathbf{V}$  to be orthonormal

$$\mathbf{V}^{-1} = \mathbf{V}^T. \quad (17)$$

Now we plug Equation (16) into Equation (15)

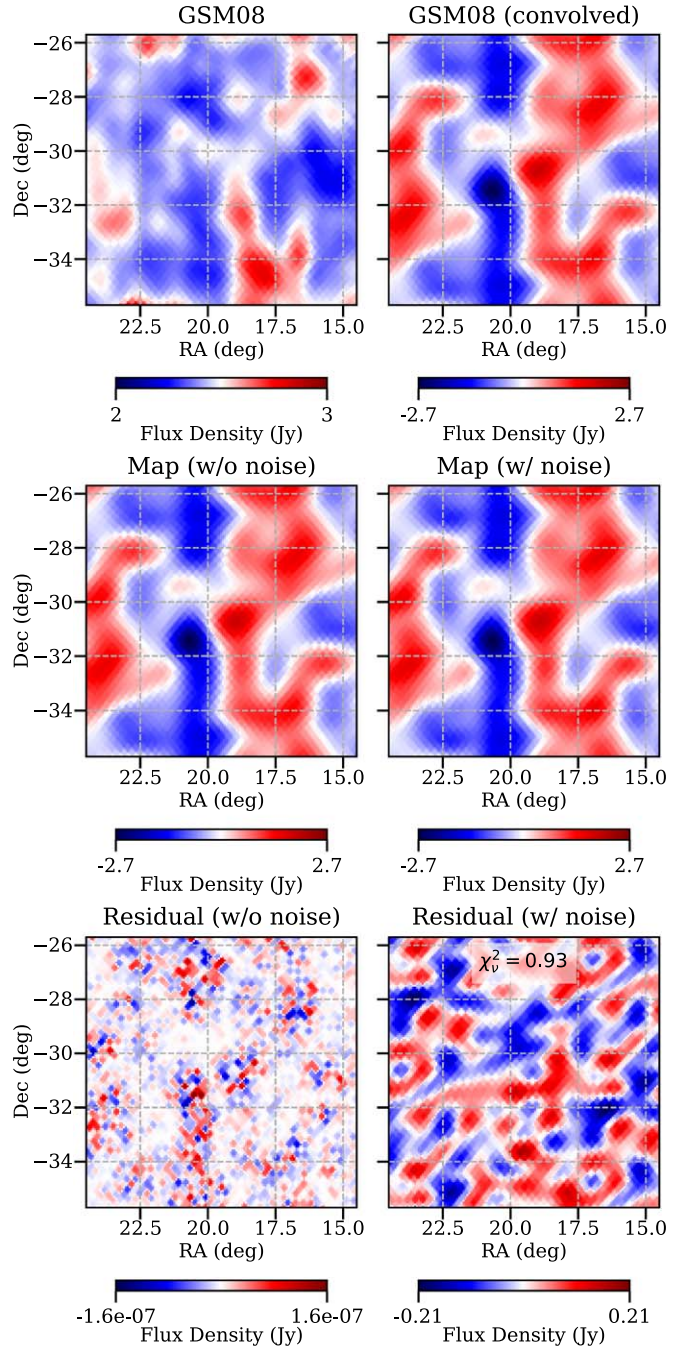
$$\begin{aligned} \text{FoM} &= \Delta \mathbf{m}^T (\mathbf{V} \mathbf{W} \mathbf{V}^{-1})^{-1} \Delta \mathbf{m} \\ &= \Delta \mathbf{m}^T \mathbf{V} \mathbf{W}^{-1} \mathbf{V}^{-1} \Delta \mathbf{m} \\ &= \Delta \mathbf{m}^T \mathbf{V} \mathbf{W}^{-1} \mathbf{V}^T \Delta \mathbf{m} \\ &= (\Delta \mathbf{m}^T \mathbf{V}) \mathbf{W}^{-1} (\Delta \mathbf{m}^T \mathbf{V})^T, \end{aligned} \quad (18)$$

where  $\Delta \mathbf{m}^T \mathbf{V}$  is the map projections onto the eigenvectors. Since  $\mathbf{W}$  has zero values,  $\mathbf{W}^{-1}$  is not computable. Therefore, we only consider the dominating eigenvalues in Equation (18). For this simulated data, we choose the first 180 eigenvalues, indicated in Figure 6.

We write  $\Delta \mathbf{m}^T \mathbf{V}$  and  $\mathbf{W}$  elementwise for the first dominating 180 eigenvalues

$$\Delta \mathbf{m}^T \mathbf{V} = (\alpha_1, \alpha_2, \dots, \alpha_{N=180}), \quad (19)$$

$$\mathbf{W} = \text{diag}(w_1, w_2, \dots, w_{N=180}), \quad (20)$$



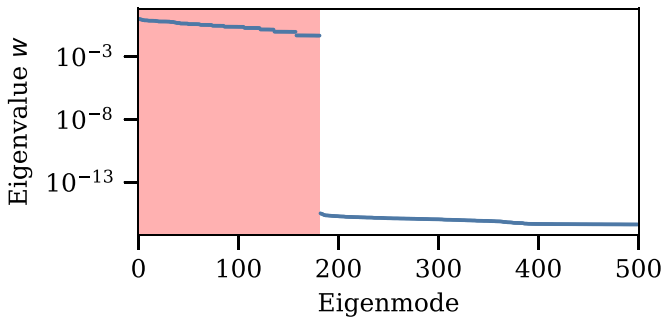
**Figure 5.** Map validation with simulated visibilities. Top: Global Sky Model (GSM08; de Oliveira-Costa et al. 2008) at 166 MHz before and after convolving with the PSF. Because of the grating lobes in the PSF (Figure 4), the convolved GSM08 looks different from the original GSM08. Middle: the DOM map from simulated visibilities before and after adding noise. Bottom: the residual maps after subtracting the convolved GSM08 from the *middle* row. The one without noise shows  $10^{-7}$  residuals. The one with noise shows random patterns at  $\sim 10\%$  level. The reduced- $\chi^2$  value (Section 4.2) is also shown in the noise residual.

and the FoM calculation can be more clearly expressed as

$$\text{FoM} = \sum_i^{N=180} \frac{\alpha_i^2}{w_i}. \quad (21)$$

This FoM is an  $\chi^2$  statistic, and the reduced  $\chi^2$  is  $\chi_\nu^2 = \chi^2/\text{d.o.f.}$ <sup>33</sup> For a residual map from noise-dominated

<sup>33</sup> The degrees of freedom for this simulated data is 180, the number of eigenvalues considered.



**Figure 6.** Eigenvalues of  $\mathbf{C}$  in descending order for the one-integration simulation data. A clear drop is seen around the one-hundred-and-eightieth eigenmode. Thus, we choose the first 180 eigenvalues for the figure-of-merit calculation. The red-shaded region shows the selected eigenmodes.

visibilities, we expect the FoM follows a  $\chi^2$  distribution with 180 degrees of freedom. For reduced  $\chi^2$ , we expect  $\chi_{180}^2 \sim 1$ . The simulation map in Figure 5 is measured  $\chi_{180}^2 = 0.93$ . For different noise realizations,  $\chi_{180}^2 \sim 1$ , validating that the covariance matrix provides an accurate description of correlated pixel noise in map space.

Back to the interferometric setting, eigenvectors represent emission patterns in the sky. The measured visibilities are sensitive to different patterns at different levels, which are characterized by the magnitude of the eigenvalues. The eigenvectors with very small eigenvalues are essentially invisible to the interferometer, which should be excluded for the FoM calculation. Therefore, by selecting the nonzero eigenvalues, we only use the emission patterns visible to the array in calculating the FoM.

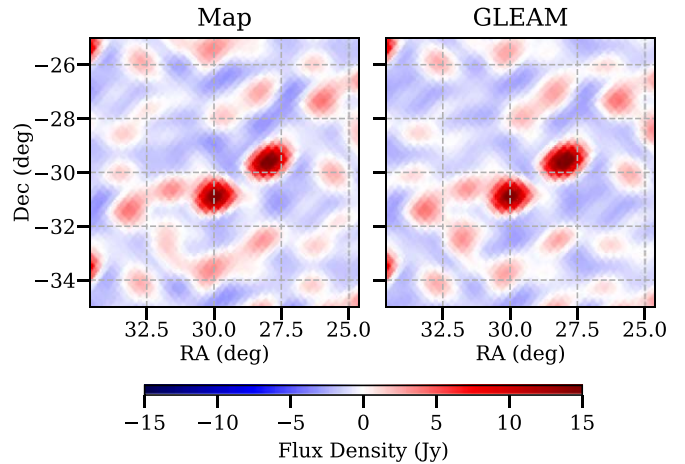
## 5. Mapping HERA Data

In this section, we map a small fraction of HERA data and evaluate sky models against the HERA measurement. Aguirre et al. (2021) report a calibration bias in the calibration of this data set, so we correct the bias by multiplying the visibilities by a factor of 1.04 (The HERA Collaboration 2022) before mapping.

### 5.1. HERA Map versus Sky Models

We select the best fraction of the HERA Phase I data, the central region of Field 1 defined in The HERA Collaboration (2022). This data set contains twenty 20 s time integrations. We randomly select one HERA frequency channel around 166 MHz with a bandwidth of 100 kHz and map the corresponding visibilities. Meanwhile, we calculate the  $\mathbf{P}$  matrix to cover the entire hemisphere ( $90^\circ$  from the zenith) to convolve four sky models and compare with HERA data:

1. *GLEAM catalogs*. GLEAM (Wayth et al. 2015) is a set of point-source catalogs from MWA. GLEAM covers the sky south of  $+30^\circ$  decl. across 72–231 MHz. The publicly available data include an extragalactic catalog (Hurley-Walker et al. 2017) with 307,455 sources and a partial Galactic plane catalog (Hurley-Walker et al. 2019) with 22,037 sources. We use the fitted spectral information stored in the GLEAM catalog—a flux at 200 MHz and a spectral index—for each source to evaluate its flux at 166 MHz. We remove the sources without fitted spectral information in GLEAM catalogs, because those sources cluster within a sky patch  $>120^\circ$  away from our



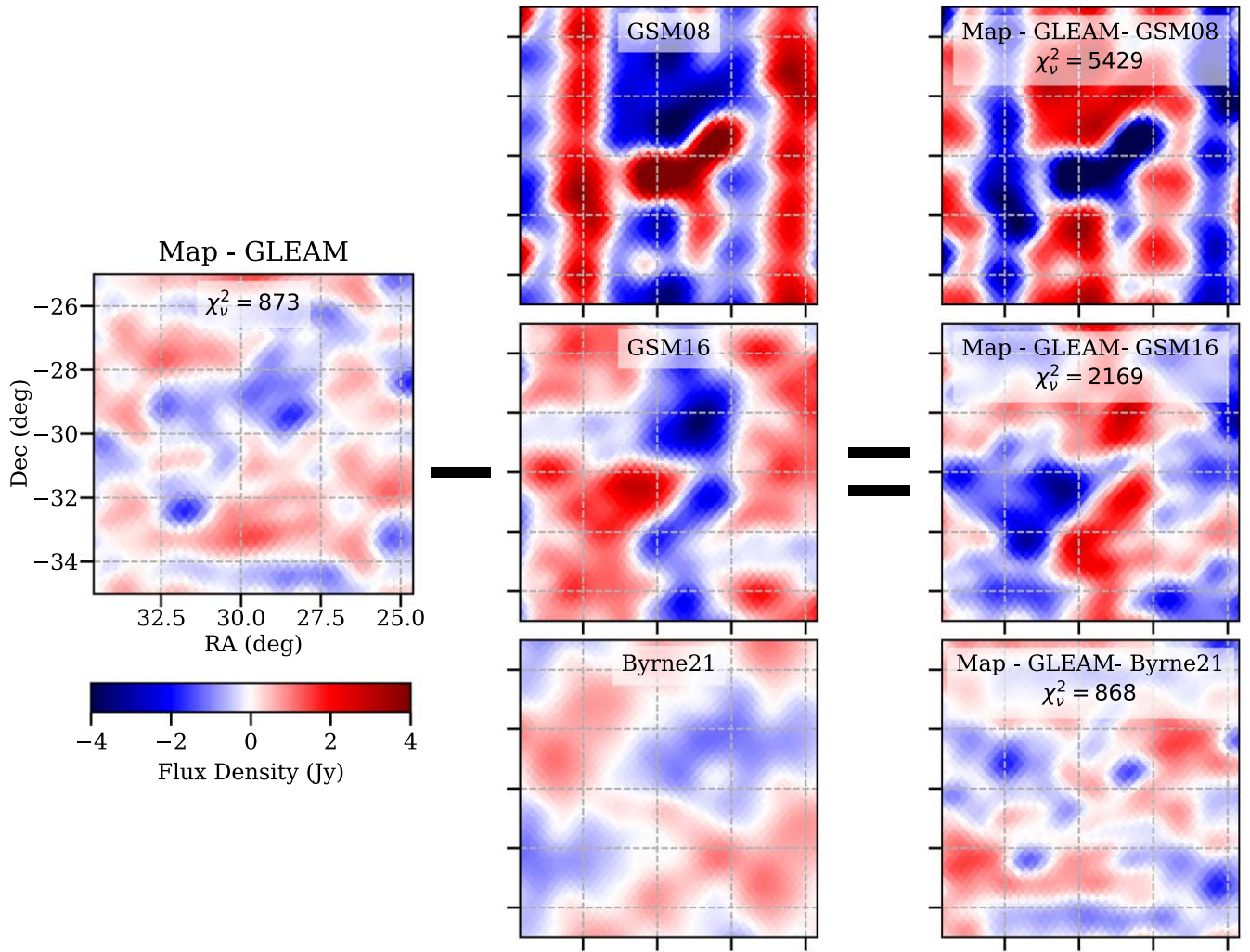
**Figure 7.** Maps from HERA data and convolved GLEAM catalogs (Wayth et al. 2015) at 166 MHz. Left: the HERA map. Right: GLEAM catalogs (Hurley-Walker et al. 2017, 2019) convolved by the PSF. The GLEAM catalogs miss some diffuse emission in the map, especially between point sources.

field. We do not approximate point-source flux to the center of the corresponding pixel because we found that the location approximation causes significant errors. Instead, we create additional pixels representing the exact location of each point source, similar to what was done in Dillon et al. (2015b).

2. *Global Sky Model in 2008 (GSM08)*. de Oliveira-Costa et al. (2008) compiled several sky surveys and derived a model of the sky emission across 10 MHz–94 GHz. GSM08 includes both the diffuse emission and point sources.
3. *Global Sky Model in 2016 (GSM16)*. Zheng et al. (2017b) improved upon de Oliveira-Costa et al. (2008) by including additional or revised survey maps (Remazeilles et al. 2015) and masking out the top 1% pixels to remove point sources. The frequency coverage is also extended to 10 MHz–5 THz from GSM08.
4. *Byrne21 Map*. Byrne et al. (2022) recently published a sky map at 182 MHz from MWA observations, covering 11,000 deg<sup>2</sup>. Assuming the Byrne21 map is dominated by Galactic synchrotron foreground, we use the reported spectral index of  $-2.61$  (Mozdzen et al. 2017) to scale the original 182 MHz map to 166 MHz.

The HERA map and convolved GLEAM catalogs are shown in Figure 7. The GLEAM catalogs match the HERA map in pointlike morphology and amplitude, while missing some faint diffuse emission. A similar comparison between GLEAM and HERA was performed in Carilli et al. (2020) with the Common Astronomical Software Applications CLEAN imaging.

We subtract the GLEAM catalogs out of the HERA map (left plot of Figure 8) to further study the diffuse structures. With the bright point sources subtracted, the diffuse emission starts to emerge. We compare sky models with the measured diffuse emission. Figure 8 shows this comparison by further subtracting sky models out of the GLEAM-subtracted residual. The middle column of Figure 8 shows three convolved sky models. The GSM08 model shows signs of the two bright sources and vertical stripes. These stripes originate from the Haslam survey (Haslam et al. 1982), which is used to construct GSM08 (Remazeilles et al. 2015). GSM16 does not show signs of bright point sources nor obvious signs of vertical stripes after their



**Figure 8.** Residual maps at 166 MHz. The figure is designed as a subtraction equation showing residuals with different components subtracted. All maps share the same sky patch and color bar range as annotated on the left map. Left column: after subtracting the convolved GLEAM catalogs (Hurley-Walker et al. 2017, 2019) from the HERA map, the residual map starts to show diffuse emission patterns. Center column: from top to bottom are convolved Global Sky Model 2008 (GSM08; de Oliveira-Costa et al. 2008), convolved Global Sky Model 2016 (GSM16; Zheng et al. 2017b), and convolved Byrne21 map (Byrne et al. 2022). GSM08 contains the two brightest point sources, while neither GSM16 nor Byrne21 contains point sources. GSM08, less so for GSM16, also shows vertical stripes. Right column: residual maps after further subtracting the GSM08, GSM16, and Byrne21 maps. Both the GSM08 and the GSM16 residuals increase the amplitude of the residual map. The morphology of their residual maps resembles that of the sky models themselves, indicating that they do not represent the observed diffuse emission. The Byrne21 map, however, shows a similar diffuse pattern as observed in the GLEAM-subtracted residual at large scales. Reduced- $\chi^2$  values are also presented for each of the residual maps as in Table 1.

desourcing and destripping processes (Zheng et al. 2017b). But neither GSM08 nor GSM16 shows a diffuse emission pattern that resembles the measurement. However, the Byrne21 map shows similar emission patterns to the measurement, especially at large scales.

After further subtracting the three sky models, the final residual maps are shown on the right column of Figure 8. Both GSM08 and GSM16 residual maps show morphology close to GSM08 and GSM16 themselves, with negative amplitudes. This means that neither GSM08 nor GSM16 map reduces the measured diffuse pattern; instead they impose their intrinsic patterns in the final residual maps. However, the Byrne21 residual does show a reduced large-scale diffuse pattern, with the point sources more prominent in the final residual map.

### 5.2. Reduced $\chi^2$ of Residual Maps

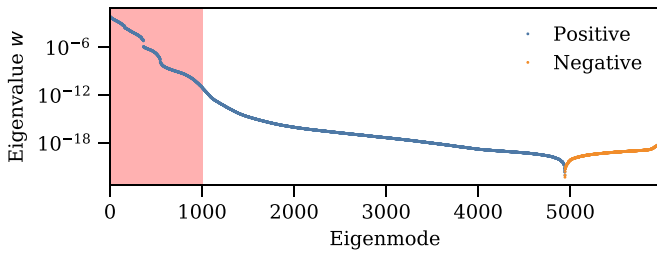
To calculate  $\chi^2_{1000}$  of the maps, we first examine the eigenvalues of the covariance matrix  $\mathbf{C}$ . Because we are

mapping 20 time integrations, the sky rotation increases the array sampling compared to one time integration in Section 4.2. The additional information leads to the smoothing of the eigenvalue spectrum in Figure 9. Without a clear drop, it is not obvious to determine a cut for dominating eigenvalues. We choose to use the first 1000 eigenvalues for this covariance matrix. We are exploring more systematic and robust ways to determine the number of dominating eigenvalues for future work.

Similar to Section 4.2, we measured  $\chi^2_{1000}$  of the residual maps in Figure 8, but with the highest 1000 eigenvalues. The result shows  $\chi^2_{1000}$  at three levels: the *Map-GLEAM* map and the *Map-GLEAM-Byrne21* map show similar values at the lowest, around  $0.9 \times 10^3$ ; the *Map-GLEAM-GSM16* map shows a value in the middle, around  $2 \times 10^3$ ; the *Map-GLEAM-GSM08* map shows the highest value, around  $5 \times 10^3$ .

Looking at the  $\chi^2_{1000}$  numbers, we can see that only subtracting GLEAM gives a relatively low value, while further





**Figure 9.** Full eigenvalue spectrum of  $\mathbf{C}$  from mapping the HERA data. The eigenvalues are displaced in descending order, with blue for the positive and orange for the negative. The negative values have the absolute values at the machine-error levels, and are artificially ordered to the right side of the plot instead of scattering around zero with the positive eigenvalues. Different from Figure 6, there is not a clear cut in this eigenvalue spectrum. This is because we combined 20 time integrations in this mapping. The sky rotation adds information beyond what the same array measures at one time, smoothing the drop we saw in Figure 6. We choose to include the first 1000 eigenvectors (red-shaded region) for the reduced- $\chi^2$  calculation.

**Table 1**  
Reduced  $\chi^2$  of Residual Maps

Residual Map	$\chi^2_{\nu}$
(1)	(2)
Noise Simulation	0.93
Map—GLEAM	873
Map—GLEAM - GSM08	5,429
Map—GLEAM - GSM16	2,169
Map—GLEAM - Byrne21	868

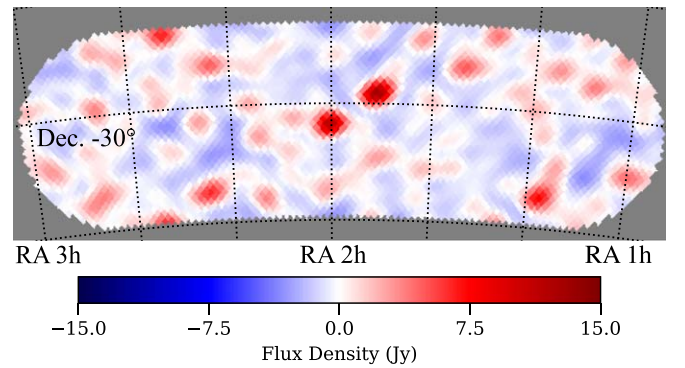
**Note.** Reduced- $\chi^2$  statistics are calculated for the map from noise simulation and different residual maps. Column (2) shows  $\chi^2_{\nu}$  as introduced in Section 4.2.

subtracting GSM08 and GSM16 increases  $\chi^2_{1000}$  back up. However, further subtracting Byrne21 does not significantly change the  $\chi^2_{1000}$  value. This numerical indication is consistent with the conclusion we visually drew in Figure 8. The overall  $\chi^2_{1000} \gg 1$  values quantify the apparent differences between HERA measurement and the sky models. Contribution factors to the difference include the errors in HERA’s primary-beam modeling (Fagnoni et al. 2021), in instrument calibration (Dillon et al. 2020; Kern et al. 2019, 2020a, 2020b), and in sky models (Wayth et al. 2015; de Oliveira-Costa et al. 2008; Zheng et al. 2017b; Byrne et al. 2022).

## 6. Computation Cost

Previous analysis focuses on a map from twenty 20 s integrations. Figure 10 shows a wide-field map at 166 MHz from three hundred 20 s integrations, including the entire Field 1 (The HERA Collaboration 2022). This map covers  $215 \text{ deg}^2$ ,  $5^\circ$  around the R.A. 1–3 h sky path at  $-30.7^\circ$  decl. The covariance matrix for pixels in this map is also available. Wide-field maps will be our final product. With the wide-field maps, Liu et al. (2016) showed that power spectra, especially in large angular scales, can be measured with spherical Fourier–Bessel formalism. However, mapping wide-field maps is computationally expensive. With this mapping configuration, we investigate whether HERA Phase I data, and eventually the full HERA array data, are computable with DOM.

Here we discuss mapping within  $5^\circ$  around the zenith, which seems to contradict Section 4.1 where we emphasized the



**Figure 10.** A wide-field map at 166 MHz. Observations across R.A. 1–3 h are composed for this map. This map covers  $5^\circ$  around the HERA zenith path. Previous examples cover the central part of this field.

contribution from the entire hemisphere, especially near the horizon. In fact, when we map the visibilities, including a larger fraction of the sky does not change the pixel flux already mapped in a small field. Considering the attenuation of the primary beam, the visibilities contain information mainly within a small field around the zenith. However, if we attempt to compare the map with a sky model, we need to convolve the sky model over the entire hemisphere, as we did in Section 5.1. This will be relevant for foreground removal in future cosmological analyses.

Even for a small field around the zenith, the DOM algorithm is computationally expensive both in terms of CPU time and RAM consumption. For one frequency bin, the  $\mathbf{A}$  matrix has the dimension of  $N_{\text{visibility}} \times N_{\text{pixel}}$ .  $N_{\text{visibility}}$  is of the order of  $10^4 - 10^5$ , considering baselines at multiple integrations;  $N_{\text{pixel}}$  is of the order of  $10^5$  or more, depending on pixel size and sky coverage. Storing the entire matrices requires  $10^1 - 10^2$  gigabytes of memory.

However, since the  $\mathbf{N}$  matrix and the  $\mathbf{D}$  matrix we adopt are both diagonal, we can analyze the visibilities piecewise and add their contribution to the final map and covariance matrix. This approach will reduce the RAM requirement.

We first write the mapping equation  $\hat{\mathbf{m}} = \mathbf{D}\mathbf{A}\mathbf{N}^{-1}\mathbf{d}$  element-wise, focusing on mapping the  $k$ th pixel

$$\hat{m}_k = D_k \sum_{t=1}^{N_{\text{int}}} \sum_{n=1}^{N_{\text{bl}}} d_{n,t} \frac{B(\hat{s}_{t,k})}{\sigma_{n,t}^2} \exp\left(i \frac{2\pi\nu}{c} \mathbf{b}_n \cdot \hat{s}_{t,k}\right), \quad (22)$$

where  $n$  runs over all the baselines, and  $t$  covers all the time integrations,  $d_{n,t}$  is the data, and  $\sigma_{n,t}$  is the noise. The above equation shows that mapping essentially sums all the visibilities together with different coefficients that are independent of other visibilities (i.e., there are no cross terms). The inner summation sums all the visibilities within one integration, and the outer summation sums all the time integrations. The reason that the simple format can be obtained is that both  $\mathbf{N}$  and  $\mathbf{D}$  are diagonal.

Now we take a close look at diagonal elements in  $\mathbf{D}$

$$D_k = \left( \sum_{t=1}^{N_{\text{int}}} \sum_{n=1}^{N_{\text{bl}}} \frac{B(\hat{s}_{t,k})}{\sigma_{n,t}^2} \right)^{-1}, \quad (23)$$

which also sums over baselines and time integrations. The subject to be summed is the inverse-variance weighted primary beam (Section 3.2).

We plug Equation (23) into the Equation (22)

$$\hat{m}_k = \frac{\sum_{t=1}^{N_{\text{int}}} \sum_{n=1}^{N_{\text{bl}}} d_{n,t} \frac{B(\hat{s}_{t,k})}{\sigma_{n,t}^2} \exp\left(i \frac{2\pi\nu}{c} \mathbf{b}_n \cdot \hat{s}_{t,k}\right)}{\sum_{t=1}^{N_{\text{int}}} \sum_{n=1}^{N_{\text{bl}}} \frac{B(\hat{s}_{t,k})}{\sigma_{n,t}^2}}. \quad (24)$$

The numerator and denominator are completely separate; we can calculate them individually before the division. Furthermore, since there are no cross terms, the summations can also be performed visibility by visibility, avoiding large matrix multiplication.

Similarly, we write down the calculation of  $\mathbf{C}$  elementwise

$$C_{k_1 k_2} = \frac{\sum_{t=1}^{N_{\text{int}}} \sum_{n=1}^{N_{\text{bl}}} \frac{B(\hat{s}_{t,k_1}) B(\hat{s}_{t,k_2})}{\sigma_{n,t}^2} \exp\left(i \frac{2\pi\nu}{c} \mathbf{b}_n \cdot [\mathbf{s}_{t,k_1} - \mathbf{s}_{t,k_2}]\right)}{\left(\sum_{t=1}^{N_{\text{int}}} \sum_{n=1}^{N_{\text{bl}}} \frac{B(\hat{s}_{t,k_1})}{\sigma_{n,t}^2}\right) \cdot \left(\sum_{t=1}^{N_{\text{int}}} \sum_{n=1}^{N_{\text{bl}}} \frac{B(\hat{s}_{t,k_2})}{\sigma_{n,t}^2}\right)} \quad (25)$$

$$= \frac{\sum_{t=1}^{N_{\text{int}}} \sum_{n=1}^{N_{\text{bl}}} \frac{B(\hat{s}_{t,k_1}) B(\hat{s}_{t,k_2})}{\sigma_{n,t}^2} \exp\left(i \frac{2\pi\nu}{c} \mathbf{b}_n \cdot [\mathbf{s}_{t,k_1} - \mathbf{s}_{t,k_2}]\right)}{D_{k_1} \cdot D_{k_2}}. \quad (26)$$

Although the equation is complicated, the logic is clear: the numerator can be added visibility by visibility because there are no cross terms, and the denominator is the product of two diagonal elements of  $\mathbf{D}$ .

In practice, we do not really analyze the visibilities one by one; we analyze visibilities in manageable batches, say per time integration. This operation loosens the requirement for  $\mathbf{N}$ : as long as there are no *inter-batch* visibility noise correlations, the calculation can still be conducted piecewise, although the calculation may not be written down as simply as illustrated above.

To summarize, provided we keep track of the normalization, the final results from this piece-wise calculation are the same as if we analyzed all the visibilities simultaneously. This piece-wise calculation frees us from storing one large  $\mathbf{A}$  matrix in RAM; we are now only limited by storing the noise covariance matrix  $\mathbf{C}$ . The summations in the piecewise calculation also show that the computation scales linearly with  $N_{\text{baseline}}$  and  $N_{\text{integration}}$ . Since the expressions are for one pixel or one matrix element, the computation scales with  $N_{\text{pixel}}$  for the maps while scales with  $N_{\text{pixel}}^2$  for the noise covariance matrix. At last, the above discussion is about mapping one frequency channel; the computation also scales linearly with number of frequency channels.

Table 2 shows the computation time for mapping the HERA Phase I data and the upcoming HERA data with 320 antennas. In summary, it takes 2.8 single-core CPU hours and 0.7 GB of RAM to generate the map in Figure 10, along with the covariance matrix. Computing maps across 300 frequency channels takes 840 single-core CPU hours, which can be done in one day with a 40-core server. Projecting to the 320-antenna HERA data, we will map 2.5 times more baselines and 4 times more pixels (from increasing map resolution). It will take 28 single-core CPU hours and 10 GB to calculate one map along with the covariance matrix. Calculating 300 frequency channels requires 8,400 single-core CPU hours, which can be done in  $< 9$  days with a 40-core server.

**Table 2**  
DOM Computation

HERA Phase I (N <sub>side</sub> = 256)					
Baseline	Int.	Pixel	Freq.	CPU Time	RAM
595	1	5411	1	38 s	0.7 GB
595	300	5411	1	2.8 hr	0.7 GB
595	300	5411	300	840 hr	0.7 GB
HERA 320-Antenna (N <sub>side</sub> = 512)					
Baseline	Int.	Pixel	Freq.	CPU time	RAM
1501	1	21644	1	380 s	10 GB
1501	300	21644	1	28 hr	10 GB
1501	300	21644	300	8400 hr	10 GB

**Note.** DOM computation with different mapping parameter, including the numbers of baselines, time integrations (Int.), pixels, and frequency channels. Baseline numbers shown are without redundant-averaging for HERA Phase I and are redundant-averaged for HERA 320-antenna. The calculation includes mapping and calculating the pixel covariance matrices. The quoted time is for single-core CPU time for Intel Xeon CPU E5-2643 v2 @ 3.50 GHz.

## 7. Conclusion

We have introduced the DOM algorithm for interferometric data and applied the algorithm to HERA Phase I data. The algorithm is designed to recover faint diffuse emission on a wide field with well-defined statistics. It relaxes the requirements of small fields and coplanar antennas, which will be useful for future interferometer arrays, including space missions.

The algorithm is validated with simulated data for one 20 s integration, showing that it achieves  $10^{-7}$  precision with noiseless data. After adding noise, we show that, with the noise covariance matrix, the map noise follows  $\chi^2_\nu \sim 1$  distribution. The  $\chi^2_\nu$  calculation accounts for the pixel correlation, which is essential for an interferometer array with incomplete  $uvw$  coverage like HERA.

After correcting the calibration bias (The HERA Collaboration 2022), we map HERA Phase I data and compare the map with the GLEAM point-source catalog and three different global sky models. The GLEAM catalogs (Hurley-Walker et al. 2017, 2019) match the point sources in the map. After subtracting the GLEAM point sources, the residual diffuse emission is best represented by the Byrne21 map (Byrne et al. 2022). Neither GSM08 (de Oliveira-Costa et al. 2008) nor GSM16 (Zheng et al. 2017b) provides a sky emission distribution consistent with the HERA measurement.

Finally, we presented an example of wide-field mapping along with calculating the pixel covariance matrix. We examined the computation cost and found that, with diagonal visibility noise matrix  $\mathbf{N}$  and normalization matrix  $\mathbf{D}$ , the mapping and covariance calculation can be conducted in batches of visibilities. This reduces the memory requirement for manipulating all visibility data at once. In addition to memory, the computation cost for mapping the HERA Phase I data and 320-antenna HERA data is one day and one week with a modern server.

With the DOM algorithm, we are able to map visibilities into image cubes along with covariance matrices and point-spread functions. We aim to cover followup analyses in future publications.

We thank Bang Nhan for useful discussions. This material is based upon work supported by the National Science Foundation under grant Nos. 1636646 and 1836019 and institutional support from the HERA collaboration partners. This research is funded in part by the Gordon and Betty Moore Foundation through grant GBMF5215 to the Massachusetts Institute of Technology. HERA is hosted by the South African Radio Astronomy Observatory, which is a facility of the National Research Foundation, an agency of the Department of Science and Innovation. Parts of this research were supported by the Australian Research Council Centre of Excellence for All Sky Astrophysics in 3 Dimensions (ASTRO 3D), through project number CE170100013. K.-F.C. acknowledges the funding support from the Taiwan Think Global Education Trust Fellowship. G.B. acknowledges funding from the INAF PRIN-SKA 2017 project 1.05.01.88.04 (FORECAST), support from the Ministero degli Affari Esteri della Cooperazione Internazionale—Direzione Generale per la Promozione del Sistema Paese Progetto di Grande Rilevanza ZA18GR02, and the National Research Foundation of South Africa (grant No. 113121) as part of the ISARP RADIOSKY2020 Joint Research Scheme, through the Royal Society and the Newton Fund under grant NA150184 and from the National Research Foundation of South Africa (grant No. 103424). P.B. acknowledges funding for part of this research from the European Research Council (ERC) under the European Union’s Horizon 2020 research and innovation program (grant agreement No. 948764), and from STFC Grant ST/T000341/1. E. de L.A. acknowledges the funding support of the UKRI Science and Technology Facilities Council Sky Kilometer Array (SKA) grant. J.S.D. gratefully acknowledges the support of the NSF AAFP award #1701536. N.S.K. acknowledges support from the MIT Pappalardo fellowship. A.L. acknowledges support from the New Frontiers in Research Fund Exploration grant program, the Canadian Institute for Advanced Research (CIFAR) Azrieli Global Scholars program, a Natural Sciences and Engineering Research Council of Canada (NSERC) Discovery grant and a Discovery Launch Supplement, the Sloan Research Fellowship, and the William Dawson Scholarship at McGill. We gratefully acknowledge the anonymous referees whose suggestions significantly improved the clarity of this work.

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