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1 MEYE: Web-app for translational and real-time

² pupillometry

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31 Abstract

32 Pupil dynamics alterations have been found in patients affected by a variety of neuropsychiatric 33 conditions, including autism. Studies in mouse models have used pupillometry for phenotypic 34 assessment and as a proxy for arousal. Both in mice and humans, pupillometry is non-invasive 35 and allows for longitudinal experiments supporting temporal specificity, however its measure 36 requires dedicated setups. Here, we introduce a Convolutional Neural Network that performs 37 on-line pupillometry in both mice and humans in a web app format. This solution dramatically 38 simplifies the usage of the tool for non-specialist and non-technical operators. Because a 39 modern web browser is the only software requirement, this choice is of great interest given its 40 easy deployment and set-up time reduction. The tested model performances indicate that the 41 tool is sensitive enough to detect both spontaneous and evoked pupillary changes, and its 42 output is comparable with state-of-the-art commercial devices.

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44 Keywords

45 pupillometry, Convolutional Neural Network, Pupil Diameter, Arousal, U-net, Web App; Oddball,
46 Eyelink, MEYE

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48 Introduction

49 Pupillometry, the measurement of pupil size fluctuations over time, provides useful insights in

50 clinical settings and basic research activity. Light level is the primary determinant of pupil size,

51 even though non-light-driven pupil fluctuations, widely assumed as an indicator of arousal

52 through Locus Coeruleus (LC) activity, can be used to index brain state across species [1–3].

53 Higher cognitive and emotional processes are also able to evoke tonic or phasic pupillary

changes, such as attention [4], memory load [5], novelty [6–8], pain [9–11], and more general

55 cortical sensory processing [2,12] in humans and in animal models.

56 A growing body of work shows how pupillometry can be used as a possible biomarker for

57 numerous neurological and psychiatric conditions in early development and adult subjects [13-

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58 27]. Spontaneous and voluntary modulation of pupil fluctuations have also been used to 59 facilitate Human-Computer Interaction in normal subjects [28-30] and patients with severe 60 motor disabilities. For example, pupil dynamics is used to assess communication capability in 61 Locked-in Syndrome, a crucial factor for the determination of a minimally conscious state 62 [31,32]. Pupillometry is also becoming a valuable tool for child neurology, to facilitate risk 63 assessment in infants. For example, the Pupil Light Reflex (PLR) during infancy seems to 64 predict the later diagnosis and severity of Autism Spectrum Disorders (ASD) [27]. Intriguingly, 65 pupil alterations are also present in several ASD mouse models [26].

66 Pupillometry has several advantages as compared with other physiological methods: it 67 is non-invasive and can be performed by non-specialized personnel on non-collaborative and 68 preverbal subjects (like infants), allowing the design of longitudinal experiments to permit 69 temporal specificity. More importantly, it can be conducted similarly across different species 70 from mice to humans, guaranteeing maximal translatability of the protocols and results 71 [13,16,26]. Given these assumptions, it is vital to introduce a simple, versatile tool used in a 72 range of settings, from the laboratory to the clinical or even domestic environment. Available 73 open source methods require complicated steps for the installation and configuration of custom 74 software not suitable for non-technical operators. Moreover, these tools were tested exclusively 75 in one species (mice [33], humans [34]), and none of them were applied in cognitive 76 experiments that usually involve small pupil changes associated with high variability.

77 In this work, we have developed a deep learning tool called MEYE, using convolutional 78 neural networks (CNNs) to detect and measure real-time changes in pupil size both in humans 79 and mice in different experimental conditions. Furthermore, MEYE web app, performs pupil area 80 quantification and blink detection, all within a single network. By embedding artificial intelligence 81 algorithms in a web browser to process real-time webcam streams or videos of the eye, MEYE 82 can be used by non-technical operators, opening the possibility to perform pupillometry widely, 83 cost-effectively, and in a high-throughput manner. This architecture is resistant to different 84 illumination conditions, allowing the design of basic neuroscience experiments in various 85 experimental settings, such as behavior coupled with electrophysiology or imaging like 2-photon 86 microscopy. To describe the performances of MEYE web app in different settings, we tested the 87 app in both mice and humans. In mice we recorded both running speed and pupil size during 88 auditory stimulation. In humans we tested MEYE capabilities to detect the PLR. Furthermore, 89 we performed a visual oddball paradigm [35-37], comparing pupil size and eye position 90 measurements obtained from MEYE with one of the most used commercial eye-tracker 91 systems: the EyeLink 1000. Finally we released a dataset of more than 11897 eye images that

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92 can be used to train other artificial intelligence tools.

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96 Methods

97 Datasets

98 For this study, we collected a dataset (Fig. 1 A) composed of 11897 grayscale images of

humans (4285) and mouse (7612) eyes. The pictures' majority depicts mouse eyes during

100 head-fixation sessions (HF: 5061) in a dark environment using infrared (IR, 850 nm) light

101 sources. In this environment, the pupil is darker than the rest of the image. We also collected

102 mouse eyes (2P: 2551) during 2-photon Ca2+ imaging. In this particular condition, the pupil is

103 inverted in color and tends to be brighter than the iris. Finally, we acquired images of human

104 eyes in IR light (H: 4285) during virtual reality experiments (wearing a headset for virtual reality),

105 using an endoscopic camera (www.misumi.com.tw/). The dataset contains 1596 eye blinks, 841

106 images in the mouse, and 755 photos in the human datasets. Five human raters segmented the

107 pupil in all pictures (one per image), using custom labeling scripts implemented in Matlab or

108 Python, by manual placement of an ellipse or polygon over the pupil area. Raters flagged blinks

109 using the same code.

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113 Fig.1: Dataset, CNN architecture, and performances. A: examples of images taken from the dataset. The 114 first image depicts a head-fixed mouse with dark pupils, the second one is a head-fixed mouse with a 115 bright pupil, during 2-photon microscope sessions. The last image is a human eve taken during experiments wearing virtual reality goggles. B: 64 examples of data augmentation fed to CNN. The 116 117 images are randomly rotated, cropped, flipped (horizontally or vertically), and changed in 118 brightness/contrast/sharpness. C: CNN architecture with an encoder-decoder "hourglass" shape. The 119 encoder part comprises a sequence of convolutional layers. Starting from the last encoder output, the 120 decoder part iteratively upsamples and fuses feature maps with corresponding encoder's maps, to 121 produce the output pixel map. The pixel probability map and eye/blink probabilities are computed by 122 applying the sigmoid activation to the network outputs element-wise. 123 124

125 CNN Architecture

The adopted CNN (Fig. 1 C) takes a grayscale 128x128 image as input and produces three
outputs: a) a 128x128 probability map of each pixel belonging to the pupil, b) the probability the

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128 image contains an eye, and c) the probability the image depicts a blinking eye. We adopted a U-129 Net variant [38], a widely used CNN in image segmentation tasks. The model has an encoder-130 decoder "hourglass" architecture; the encoder part comprises a sequence of convolutional 131 layers with ReLU activation and 2x2 max pooling operation, each halving the spatial resolution 132 of feature maps at every layer; this produces a sequence of feature maps of diminishing spatial 133 dimensions that provides both spatially local information and global context for the subsequent 134 steps. Starting from the last encoder output, the decoder part iteratively upsamples and fuses 135 feature maps with corresponding encoder maps, using convolutional layers, to produce the 136 output pixel map. All convolutional layers have 16 3x3 kernels and pad their input to obtain a 137 same-shaped output. Upsampling and downsampling operations have factor 2. Eye and blink 138 probabilities are predicted by an additional branch that applies global average pooling and a 139 two-output fully-connected layer to the bottleneck feature map. The pixel probability map and 140 eye/blink probabilities are computed by applying the sigmoid activation to the network outputs 141 element-wise.

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143 Augmentation, Training, and Validation

144 We randomly split the dataset into training, validation, and test subsets following a 70/20/10%

- split. We perform strong data augmentation during the training phase by applying random
- 146 rotation, random cropping, random horizontal and vertical flipping, and random
- brightness/contrast/sharpness changes; images are resized to 128x128 before feeding them tothe CNN (Fig. 1 B).
- 149 For validation and test images, we take a 128x128 crop centered on the pupil. We compute the
- 150 binary cross-entropy for all outputs (pixels and eye/blink logits) and take the sum as the loss
- 151 function to minimize. The network is trained with the AdaBelief optimizer [39] for 750 epochs
- 152 with a learning rate of 0.001. The best performing snapshot on the validation set is selected and
- 153 evaluated on the test set.
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155 MEYE: Web-browser Tool

156 We built a web app for pupillometry on recorded or live-captured videos harnessing our model

157 as the core component. The trained model has been converted to a web-friendly format using

158 *TensorFlow.js*, thus enabling predictions on the user machine using a web browser.

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159	This choice greatly facilitates the deployment and reduces set-up time, as a modern web
160	browser is the only minimum requirement. Once loaded, an internet connection is not
161	mandatory, as no data leaves the user's browser, and all the processing is performed on the
162	user's machine. This implies that performance greatly depends on the user's hardware; if
163	available, hardware (GPU) acceleration is exploited automatically by TensorFlow.js. In our tests,
164	a modern laptop shipping an Intel(R) Core(TM) i7-9750H 2.60GHz CPU and an Intel(R) UHD
165	Graphics 630 GPU can process up to 28 frames per second.
166	The web app also offers additional features that facilitate the recording process, such as:
167	 Processing of pre-recorded videos or real-time video streams captured via webcam;
168	 ROI placement via user-friendly web UI (drag&drop) and automatic repositioning
169	following tracked pupil center;
170	 Embedded tunable post-processing (map thresholding and refinement via mathematical
171	morphology);
172	Support for registering trigger events;
173	 Live plotting of pupil area and blink probability;
174	• Data export in CSV format including: pupil area, blink probability, eye position and trigger
175	channels.
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177 Behavioral Experiments on Mice

178 *Animal Handling:* Mice were housed in a controlled environment at 22 C with a standard 12-h

- 179 light-dark cycle. During the light phase, a constant illumination below 40 lux from fluorescent
- 180 lamps was maintained. Food (standard diet, 4RF25 GLP Certificate, Mucedola) and water were
- 181 available ad libitum and changed weekly. Open-top cages (36.5×20.7×14 cm; 26.7×20.7×14 cm
- 182 for up to 5 adult mice or 42.5×26.6×15.5 cm for up to 8 adult mice) with wooden dust-free
- 183 bedding were used. All the experiments were carried out following the directives of the
- 184 European Community Council and approved by the Italian Ministry of Health (1225/2020-PR).
- 185 All necessary efforts were made to minimize both stress and the number of animals used. The
- 186 subjects used in this work were three female C57BL/6J mice at 3 months of age.
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- 188 *Surgery:* The mouse was deeply anesthetized using isoflurane (3% induction, 1.5%
- 189 maintenance). Then it was mounted on a stereotaxic frame through the use of ear bars.
- 190 Prilocaine was used as a local anesthetic for the acoustic meatus. The eyes were treated with a
- 191 dexamethasone-based ophthalmic ointment (Tobradex, Alcon Novartis) to prevent cataract

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192 formation and keep the cornea moist. Body temperature was maintained at 37 degrees using a 193 heating pad monitored by a rectal probe. Respiration rate and response to toe pinch were 194 checked periodically to maintain an optimal level of anesthesia. Subcutaneous injection of 195 Lidocaine (2%) was performed prior to scalp removal. Skull surface was carefully cleaned and 196 dried, and a thin layer of cyanoacrylate is poured over the exposed skull to attach a custom 197 made head post that is composed of a 3D printed base equipped with a glued set screw (12 mm 198 long, M4 thread, Thorlabs: SS4MS12). The implant is secured to the skull using cyanoacrylate 199 and UV curing dental cement (Fill Dent, Bludental). At the end of the surgical procedure, the 200 mice recovered in a heated cage. After 1 hour, mice were returned to their home cage. 201 Paracetamol was used in the water as antalgic therapy for three days. We wait seven days 202 before performing head-fixed pupillometry to provide sufficient time for the animal to recover. 203 204 Head Fixation: In the awake mouse head-fixation experiments, we employed a modified version 205 of the apparatus proposed by Silasi et al. [40], equipped with a 3D printed circular treadmill 206 (diameter: 18cm). Components listed in Table1. A locking ball socket mount (TRB1/M) is 207 secured to an aluminum breadboard (MB2020/M) using two optical posts (TR150/M-P5) and a 208 right angle clamp (RA90/M-P5). The circular treadmill is blocked between the base plate pillar 209 rod and the optical post through a ball-bearing element (BU4041, BESYZY) to allow the disk's 210 spinning with low effort. To couple the head-fixing thread on the mouse to the locking ball, an 211 ER025 post was modified by re-tapping one end of it with M4 threads to fit the ball and socket 212 mount. Velocity is detected using an optical mouse under the circular treadmill. Pupillometry is

- 213 performed using a USB camera (oCam-5CRO-U, Withrobot) equipped with a 25 mm M12 lens
- connected to a Jetson *AGX Xavier Developer Kit* (NVIDIA) running a custom Python3 script
- 215 (30fps). The Jetson hardware is connected with an Arduino UNO through GPIO digital
- connection. The Arduino UNO manages the auditory stimuli through a speaker (W3-1364SA 3",
- 217 Tang Band).
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- 219

Part Number	Description	Qty	Price(euro)
TRB1/M	Locking ball and socket mount M4	1	55.83
TR150/M-P5	Optical post M4 - M6 150mm 5pack	1	29.97
RA90/M-P5	Right-Angle Clamp	1	45.7
MB2020/M	Aluminium breadboard	1	72.3
RS075P/M	Pedestal Pillar Post	1	21.63
SS4MS12	Setscrews 12mm long M4	1	5.61

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AP4M3M	Adaptor M4-M3	5	1.91
ER025	Cage assembly rod	5	4.73
SS6MS12	Setscrews 12mm long M6	1	5.55
CF038C-P5	Clamping Fork	1	46.49
TOTAL			289.72

220

221 Table1. Head-fixation apparatus components

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224 Behavioral Procedure: Mice were handled for 5 minutes each day during the week preceding 225 the experiments; then, they were introduced gradually to head-fixation for an increasing amount 226 of time for five days. During Day 1 and 2, we performed two sessions of 10 minutes of head-227 fixation, one in the morning and one in the afternoon. On Day 3, we performed one session of 228 twenty minutes, Day 4 thirty minutes, and Day 5 thirty-five minutes. Each recording started with 229 5 minutes of habituation. We exposed the animal to the auditory stimuli during the last day. During each head-fixation session, a curved monitor (24 inches Samsung, CF390) is placed in 230 231 front of the animal showing a uniform gray with a mean luminance of 8.5 cd/m2. The frequency 232 of tone 1 is 3000Hz, tone 2 is 4000Hz, both at 70dB, 10 seconds duration, and 120 seconds of 233 interstimulus. 234

235 Data Analysis: Data has been analyzed using Python 3 and Jupyter notebooks. Correlation has 236 been performed using *pingouin.corr* (spearman method). Permutation tests were carried out 237 permuting single subject samples for 3000 times using the function *scipy.random.permutation* 238 to calculate mean and standard deviation of the chance level. Then each sample of the ERT 239 was compared with the corresponding null hypothesis distribution using scipy.stats.norm.cdf. 240 All the obtained p-values were corrected for multiple comparisons using the 241 Benjamini/Hochberg FDR correction of *pingouin.multcomp* Python function. T-tests were 242 performed using the *pingouin.ttest* Python function. Eyes Movements comparison is carried out 243 normalizing (in the range between -1 and 1) data from both setups, upsamplig MEYE data from 244 15 to 1000 fps using linear interpolation and then calculating the Mean Absolute Error (MAE), 245 performed using the Python function *sklearn.metrics.mean* absolute error. 246

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247 Behavioral Experiments on Humans

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249 PLR: Pupillometry has been performed using a MacBook Pro (Retina, 13-inch, Early 2015, Intel 250 Core i5 Dual-core 2.7GHz, 8GB of RAM, Intel Iris Graphics 6100 1536 MB) running MEYE 251 application on Firefox (84.0). The tool is able to compute online pupil size quantification, plotting 252 the instantaneous pupil area and saving the results on file. Furthermore the tool accepts four 253 independent manual push button triggers (keys T or Y on the keyboard). This feature allowed us 254 to annotate stimulation events. A USB IR webcam (Walfront5k3psmv97x, Walfront) equipped 255 with a Varifocal 6-22mm M12 objective (149129, Sodial) was used to acquire images of the eye. 256 The camera is equipped with 6 IR LEDs to illuminate the eye uniformly, optimising contrast 257 between the iris and the pupil. Photic stimulation is delivered using an Arduino Due (Arduino) 258 microcontroller connected via USB to the notebook and programmed to emulate a keyboard. 259 The Arduino emulates a keyboard (using the keyboard.h library) to send event triggers to MEYE 260 in the form of keystroke events. The microcontroller drives a stripe of four LEDs (WS2813, 261 WorldSemi) using the FastLED.h library, flashing bright white light for 500 ms with an 262 interstimulus of 5 seconds (Fig. 3 A). The Subject sat in front of a monitor screen (24 inches 263 Samsung, CF390) at a distance of 60 cm, with the head stabilized by a chin rest and instructed 264 to maintain fixation on a small dot presented in the center of the screen for the whole duration of 265 the recording (57 seconds). A total of 10 flash stimuli have been presented through the strip of

266 LED mounted above the screen.

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269 Oddball Paradigm Corecordings: To compare the performances shown by the CNN system with 270 that of a state of the art commercial software, we coregistered pupillometry using MEYE and an 271 EyeLink 1000 system while 9 (3 males, 6 females, average age 28.78 years) participants 272 executed an oddball paradigm. The experiment was conducted in a quiet, dark room. The 273 participant sat in front of a monitor screen (88x50 cm) at a distance of 100 cm, with their head 274 stabilized by a chin rest. Viewing was binocular. Stimuli were generated with the PsychoPhysics 275 Toolbox routines [41,42] for MATLAB (MATLAB r2010a, The MathWorks) and presented on a 276 gamma-calibrated PROPixx DLP LED projector (VPixx Technologies Inc., Saint-Bruno-de-277 Montarville, Canada) with a resolution of 1920x1080 pixels, and a refresh rate of 120 Hz. Pupil 278 diameter was monitored at 1kHz with an EyeLink 1000 system (SR Research) with an infrared 279 camera mounted below the screen and recording from the right eye. The participant was 280 instructed to maintain fixation on a small dot (0.5 deg) presented in the center of the screen for

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281 the whole duration of the recording (300 seconds). In this study, the visual stimuli consisted in 282 the appearance of a high probability stimulus (80% of times) defined as "Standard" and a lower 283 probability stimulus (20% of times) defined as "Target". The Standard stimulus consisted in a 284 100% contrast-modulated annular grating (mean luminance 25 cd/m²), horizontally orientated, 285 spatial frequency of 0.5 cpd, with an inner and outer diameter of 1.5 and 5 deg, respectively. 286 The edges of the annulus were smoothed by convolving the stimulus with a gaussian mask 287 (sigma = 0.5 deg). The Target stimulus has the same parameters of the Standard stimulus 288 except the orientation that was 45 deg (see Fig. 4 A). The presentation duration of each trial, 289 either the Standard (0 deg) or Target (45 deg) trial, was 200 ms with the intertrial interval 290 between two consecutive trials being 2800 ms. The phase of both the Target and the Standard 291 stimuli was randomized across trials. The participants were instructed to press a button for a 292 Target stimulus and not to respond for a Standard stimulus. 293 294 Eye Movements Corecordings: For eye tracking recording we employed both the MEYE tool 295 and EyeLink 1000 as described above. In the smooth pursuit condition a small dot (0.5 deg), 296 moved on the screen horizontally, changing direction each 20 degrees of the visual field with a 297 constant velocity of 8 deg/sec. In the Saccades condition every 2.5 s the small dot changes 298 abruptly position horizontally with a span of 20 degrees. 299 300 301 Data Availability 302 303 The code and web app are freely available on Github: github.com/fabiocarrara/meye 304 MEYE is available at: www.pupillometry.it 305 The dataset is available on: https://doi.org/10.5281/zenodo.4488164 306

307 Results

- 308 Pupillometry in Head-Fixed Mice
- 309 Our primary goal in this work is to examine if our CNN-based pupillometry can detect pupil

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310 fluctuations in real-time. We designed a behavioral experiment to characterize spontaneous and 311 evoked pupillary changes. To confirm that pupil size changes are coupled with arousal 312 transitions, we simultaneously recorded animal running speed during head fixation movements 313 on the circular treadmill (Fig. 2 A). The experimental protocol included an initial period of 314 habituation lasting 5 minutes followed by auditory stimulation using two tones (Tone1: 3 kHz, 315 Tone2: 4kHz, using 120 seconds interstimulus, Fig. 2 B). We first set out to evaluate if CNN can 316 detect event-related transients (ERT) due to auditory stimulation. For all the acoustic events, we 317 averaged pupil size and running velocity during a 80 sec temporal window centered on acoustic 318 stimulation (Fig. 2 C). We detected a significant pupillary dilation after the onset of the auditory 319 stimulus, together with a similar peak in locomotion (Pupil: P-value < 0.01, Permutation Test, Velocity: P-value < 0.01, Permutation Test). This event-related transient is a proxy of the 320 321 arousal change due to acoustic detection and can be considered a manifestation of cognitive 322 and emotional processing of the stimulus [2]. By analyzing the overall traces, we found that the 323 pupil diameter and the trace related to animal running, were characterized by a significant 324 correlation (r: 0.79, P-value < 0.001, Pearson Correlation), in agreement with the hypothesis that fluctuations of the arousal level mainly characterize spontaneous pupillary events. 325 326 Moreover, we calculated pupil size during habituation in different arousal states, finding that 327 during locomotion, pupil size is significantly larger than in stationary periods (P-value < 0.01, 328 Paired T-Test, Fig. 2 D). These results indicate that CNN pupillometry can detect spontaneous 329 and elicited pupillary changes and can be used to monitor the mouse's arousal state during 330 head fixation experiments.





333 Fig.2: Pupillometry in head-fixed mice. A: Setup for head-fixed pupillometry in the awake mouse. The 334 mouse is head-fixed to a custom made metal arm equipped with a 3D printed circular treadmill to monitor 335 running behavior. In the meantime, pupillometry is performed using CNN. B: The average fluctuation of 336 pupillometry and velocity in all experimental mice. Dashed pink and yellow areas represent the onset and 337 duration of auditory stimuli. Evoked peaks in both pupil size (blue line) and velocity (green line) are clearly 338 noticeable during auditory stimulation. C: Average event-related transients for both pupil size and velocity. 339 Gray areas represent stimulus onset and duration. Red areas represent statistically significant data points 340 with respect to random permutation testing. D: Sensibility of the system to detect spontaneous arousal 341 fluctuations. Average pupil size is significantly affected by the behavioral states of the animal. During 342 running epochs (Moving) the pupil is significantly more dilated than during the resting state (Stationary). 343 344

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347 Web-Browser Application to Perform Pupillometry Experiments

348 To test the implementation of the CNN in a web-browser (MEYE, Fig. 3 B), we designed a 349 simple experiment aimed to measure PLR evoked by brief flashes of light on the human eye. 350 The experiment included 10 flash events with an interstimulus of 5 seconds (dashed vertical 351 lines in Fig. 3 C). The results showed a clear light-induced modulation of pupil size in 352 correspondence with each flashes onset. Aligning and averaging all the traces along with the 353 events, PLR can be quantified in both the raw (44.53%±0.67% change from baseline) and z-354 scored (14.59±2.05 st.dev. from baseline) trace (Fig. 3 D-E). To detect if it is possible to 355 measure cognitively driven pupil signals using the MEYE tool reliably, we performed 356 pupillometry while participants executed an oddball task, a commonly used paradigm for 357 cognitive and attentional measurement. This task is based on the principle by which pupil 358 dilation is stronger in response to rare stimuli and can be used as a physiological marker for the 359 detection of deviant stimuli [37]. This experiment has been carried out recording the same eye 360 using both the MEYE tool and an EyeLink 1000 system. According to Google Scholar, the 361 Evelink system is one of the most utilized eve trackers in psychology, psychophysics, and 362 neuroscience, with more than 17K scientific publications mentioning this tool. During the oddball 363 experiment, the subject was instructed to maintain fixation on a small dot presented in the 364 center of the screen, pushing a button only when the *Target* stimulus appears on the screen and 365 not responding to the Standard stimulus (Fig. 4 A). Averaging and comparing the responses to 366 Standard and Target gratings results in a significant stronger pupil dilation for the Target 367 stimulus than the Standard stimulus, that is detected by both the recording systems (MEYE: P-368 value < 0.001, T-Test Paired, EyeLink: P-value < 0.001, T-Test Paired, Fig. 4 B-C). No 369 differences have been found for the responses evoked by the Target stimulus between the 370 MEYE tool and the EyeLink system (P-value:0.327, T-test Paired, Fig. 4 B-inset). Moreover, the 371 single-subject pupillary evoked amplitudes show a significant positive correlation between the 372 two techniques (P-value:0.01, r:0.88, Pearson Correlation) with more than 75% of the variability 373 explained by the linear model. Pupil-size is known to covary with eye position in video-based 374 measurements [43], producing foreshortening of the pupillary image because the camera is 375 fixed but the eye rotates. To overcome this issue, there are several possible solutions: the most 376 simple requires to maintain constant fixation throughout each trial, but, if this requirement 377 cannot be satisfied (such as in sentence reading), the position of the pupil at each sample is 378 required to correct and mitigate the estimation error. Thus, we decided to quantify the

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379 agreement between positional outputs provided by MEYE and Eyelink for horizontal eye 380 movements. We designed two tasks: in the first task, a dot smoothly traveled horizontally on the 381 screen from left to right and vice versa at a velocity of 8 deg/sec and spanning 20 degrees. 382 producing slow and smooth pursuit eye movements. In the other experiment, a dot jumped 383 every 5 seconds from a position to the other (spanning 20 degrees), producing large, fast, and 384 abrupt saccades. Results (Fig. 4 D) show that smooth pursuit movements generate a sinusoidal 385 change of position with a good agreement between both systems (MAE: 0.04). The second 386 task, inducing saccades, produces a slightly larger error (MAE: 0.073). This error is mainly due 387 to the much lower sampling rate of MEYE (MEYE:15 fps; Eyelink: 1000 fps). This means that 388 even if MEYE provides the exact positional information for each sample, it has a lower performance in adequately describing fast eye movements, such as saccades. Thus, MEYE 389 390 provides the data required for post-hoc correction of pupil measures although it should be used 391 with caution for measuring saccades. This factor should be taken into account when designing 392 experiments using MEYE. Finally, MEYE can also be used as an offline tool to analyze 393 pupillometry videos in various file formats, depending on the video codec installed in the web-394 browser. We successfully analyzed videos in different experimental conditions, including head-395 fixed mice running on a treadmill, during 2-photon calcium imaging, and in humans (Fig. 4 A-C). 396 397





399 Fig.3: Web-browser Pupillometry Experiment. A: Experimental setup for running the PLR stimulation and 400 in the meantime perform pupillometric recordings. The PC is connected to the internet running an 401 instance of MEYE tool in the web browser. A USB camera, equipped with an IR light source, is focused 402 on the eye of the subject. The photic stimulus is delivered using a LED array driven by an Arduino Due. 403 The Arduino is connected to the PC emulating a keyboard and sending keystrokes stimulus triggers to the 404 MEYE tool. B: A picture of MEYE graphical user interface. The subject during the recording is visualized 405 as a streaming video. A ROI is used to locate the eye and a preview of the estimation of the pupil is 406 superimposed to the image of the subject. The GUI allows to set different parameters of post-processing 407 (map thresholding and refinement via mathematical morphology). C: Raw trace of the experiment (blue). 408 Dashed lines locate the onset of flash stimuli. The green rectangles locate the onset and duration of 409 blinks. The samples corresponding to blinks are removed and linearly interpolated (in red). D: Average 410 event related transient to flash stimulation in raw values. After the onset of the stimulus (dashed line) a 411 strong constriction of the pupil is observed (44.53%). E: Z-score of the average event related transient 412 seen in D. The average nadir amplitude is 14.59 standard deviations from baseline.



417 Fig.4: Cognitively driven pupillary changes. A: Visual Oddball procedure. The participant is instructed to 418 fixate a small red dot placed at the center of the screen and to push a button only when the Target visual 419 stimulus appears. B: Average Pupil waveforms. Average pupil response to Standard and Target stimulus 420 for both MEYE (blue, left) and EyeLink (red, right). In the inset is represented the comparison between the 421 evoked response to the Target stimulus in both setups. C: Average pupil response. Difference between 422 the Standard and Target stimuli recording using MEYE (uppermost) and Eyelink (middle). The lowermost 423 graph represents the correlation between MEYE and Eyelink data. **D**: Eye movements data. comparison 424 between the MEYE tool(blue) and Eyelink system (red) during smooth pursuit task (upper) and saccades 425 (lower). 426 427

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Fig.5: Offline Movies Analysis. A: Awake Head-fixed mouse running on a treadmill, recorded for 40 seconds. The grey area represents a blink, the trace of the blink is removed and linearly interpolated (Red line). B: Awake mouse during 2-photon calcium imaging. Here is clearly visible a brighter pupil with respect to A. Blinking epochs are removed and linearly interpolated. C: Pupillometry performed on a human subject, with a higher blinking rate with respect to mice. In all figures the insets images represent the ROIs.

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440 Discussion

- 441 In this work, we demonstrated that MEYE is a sensitive tool that can be used to study pupil
- 442 dynamics in both humans and mice. Furthermore, by providing eye position MEYE allows post-
- 443 hoc control of possible effects of eye movements on pupil measures[43]. MEYE can detect both
- 444 spontaneous and evoked pupil changes in a variety of conditions: mice with black pupils in
- 445 normal illumination conditions, and mice with bright pupils resulting from laser infrared

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446 illumination. This flexibility allows the use of MEYE in combination with 2-photon, wide field 447 imaging and electrophysiological techniques widely adopted in the awake or anaesthetized 448 mice. Furthermore, MEYE can be employed to design standalone experiments using cost-449 effective hardware with performance comparable with that of state-of-the-art commercial 450 software. In this experiment, we used a USB webcam with a varifocal objective that allows focal 451 adjustment concentrated on the eye. The cost of the imaging equipment is less than 50 euros 452 (see Table 2), and requires no knowledge of coding to set up. The flashing stimulus apparatus 453 requires a basic understanding of Arduino boards and can be assembled at a price lower than 454 50 euros. The overall cost of the apparatus is less than 100 euros. Our code can be used in two 455 different ways, to satisfy many needs. One way relies on the standalone web-browser tool, that 456 allows running MEYE on almost any device, from scientific workstations to notebooks or even 457 smartphones. The other way utilizes a dedicated Python script running the CNN locally on a workstation. This latter case is suited for experiments with specific requirements, like high and 458 459 stable framerate or online processing of pupil size in which on-the-fly pupil computer-interaction 460 is required.

461 Valid open source and commercial alternatives exist, most of them are dedicated to gazing 462 tracking and/or pupillometry. Commercial options are costly (tobii.com, sr-research.com, 463 neuroptics.com), whereas open-source code instead requires programming knowledge and 464 most of them are explicitly dedicated to one species [33,34]. One of these papers [33] assessed 465 pupil dilation in mice through DeepLabCut [44], a technique for 3D markerless pose estimation 466 based on transfer learning. This approach, albeit powerful, is conceptually different, since it is 467 trained on user-defined key-points instead of using the entire pupil to perform semantic 468 segmentation. The former technique is more suited to track and locate arbitrary objects on an 469 image, the latter technique is focused on a more precise quantification of even small changes of 470 the object area, since pixel-wise segmentation masks are refined iteratively using local and 471 global context. The possible contribution of the web app technology resides in its portability: no software needs to be manually installed and configuration is minimal. Only a clear IR image of 472 473 the subject's eye is required. The performances of the tool are dependent on the host computer 474 but it runs at >10 fps in most of the machines tested. This advantage is particularly useful for 475 settings with limited resources and space or for educational purposes. Web browser embedded 476 pupillometry will also be crucial for human scientific research, clinical and preventive medicine. 477 It would also be a promising tool in the recently growing field of telemedicine given its minimal 478 setup that can run on an average notebook or even on a smartphone, it allows possible large-479 scale recruitment of subjects directly in their own homes. This greatly facilitates infants,

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480 psychiatric, and motor-impaired patients' compliance, particularly for longitudinal research 481 designs. We also released an open-source database of eyes composed of more than 11.000 482 images in various settings: head-fixed mice (black pupil), head-fixed two-photon imaging mice 483 (white pupil), and human eyes. This dataset will grow over time to introduce new species and 484 new use cases to increase, update, and strengthen MEYE performances. The possible 485 scenarios can be further expanded in the future, due to the dynamic nature of CNN. It can be 486 updated from the source, providing instantaneous updates on each computer running an 487 instance of the program. Our hope is to create a community that refines and consolidates 488 pupillometric performances, to produce a tool that can be applied in different environments. 489

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Part Number	Description	Qty	Price(euro)	store	Manufacturer
Walfront5k3psm					
v97x	USB webcam	1	33.48	Amazon	Walfront
149129	Varifocal M12 Lens	1	12.03	Amazon	Sodial
	Microcontroller			Arduino	
A000062	Arduino Due	1	35	Store	Arduino
1312	4 NeoPixel RGB LEDs	1	6.53	Adafruit	Adafruit
Total Amount			87.04		

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492 Table2: Hardware equipment for PLR.

493 Authors' contributions

494

495 RM, FC and TP designed the research; RM, FC and GA trained and designed the AI tools; AV,

496 RM, LL, GR and LLV labeled manually the dataset, RM, AV, LL, GS, LLV and AB performed the

497 experiments, RM and FC performed data analysis.

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499

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21

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- 513
- 514

515 Competing interests

516 The authors declare that they have no competing interests.

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