

Research Article: Open Source Tools and Methods | Novel Tools and Methods

# 3D printable device for automated operant conditioning in the mouse

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3	Abbreviated Title :			
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# 46 Abstract

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49 Operant conditioning is a classical paradigm and a standard technique used in experimental psychology in which animals learn to perform an action in order to achieve a reward. By 50 51 using this paradigm, it is possible to extract learning curves and measure accurately reaction 52 times. Both these measurements are proxy of cognitive capabilities and can be used to 53 evaluate the effectiveness of therapeutic interventions in mouse models of disease. Here we 54 describe a fully 3D printable device that is able to perform operant conditioning on freely 55 moving mice, while performing real-time tracking of the animal position. We successfully 56 trained 6 mice, showing stereotyped learning curves that are highly reproducible across 57 mice and reaching more than 70% of accuracy after two days of conditioning. Different 58 products for operant conditioning are commercially available, though most of them do not 59 provide customizable features and are relatively expensive. This data demonstrate that this 60 system is a valuable alternative to available state-of-the-art commercial devices, 61 representing a good balance between performance, cost, and versatility in its use. 62

# 63 Significance Statement

3D printing is a revolutionary technology that combines cost-effectiveness with an optimal trade off between standardization and customization. Here we show a device that performs operant conditioning in mice using largely 3D printed parts. This tool can be employed to test learning and memory in models of disease. We expect that the open design of the chamber will be useful for scientific teaching and research as well as for further improvements from the open hardware community.

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# 74 Introduction

75 Operant conditioning (OC) (Jones, Nowell Jones, and Skinner 1939) is a standard technique 76 used in experimental psychology in which animals, like rodents (Francis and Kanold 2017; 77 O'Leary et al. 2018), reptiles (Mueller-Paul et al. 2014), birds (Cook 1992), dogs (Range et 78 al. 2008), monkeys (Range et al. 2008), and humans (Angulo-Barroso et al. 2017; Siqueland 79 1964), learn to perform an action in order to achieve a reward. By using this paradigm, it is 80 possible to extract learning curves and measure accurately mental chronometry (e.g. 81 reaction times). As previously suggested (Escobar and Pérez-Herrera 2015; O'Leary et al. 2018; Francis and Kanold 2017), different products for OC are commercially available, 82 83 though most of them do not provide customizable features and are relatively expensive.

84 Neuroscience research has greatly benefited from new 3D printing technologies bringing 85 new possibilities to build tools, and increasing productivity and user-timeliness. 3D printing 86 also opened unprecedented resources for training students and solving common 87 experimental problems (Baden et al. 2015). There is a plethora of work using 3D printed 88 mechanical parts (Baden et al. 2015), ranging from fluorescence microscopes (Chagas et al. 89 2017) to electrophysiology systems (Siegle et al. 2017). The combination of 3D printing with 90 off-the-shelf, low-cost optical and electronic components facilitates reproducibility of 91 experimental tools internationally and promotes rapid iteration and prototyping (Chagas et al. 92 2017). Here we demonstrate an affordable, fully 3D printable, and automated solution that 93 can be reproduced rigorously in any laboratory equipped with a 3D printer with a total cost 94 around 160€ (Table 1). We designed the chamber entirely using 3D modelling for several 95 reasons: first, it has a high degree of reproducibility, since the model is standardized and can 96 be downloaded to print the same structure with the same materials throughout different 97 laboratories. Secondly, it can be easily customized in relation with specific experimental 98 needs. Lastly, it can be easily shared through on-line repositories. With these cost-efficient 99 and accessible components, we assayed the possibility to perform two-alternative forced 100 choice operant conditioning using audio-visual cues while tracking in real time mouse 101 position.

# 103 Methods

### 104 Mice housing and handling

105 Animals were kept at a constant temperature (22°C) with a standard 12h light-dark cycle 106 (7am to 7 pm). Food was available ad libitum and changed weekly. During OC Protocol mice 107 are water restricted (body weight > 85% (Goltstein et al. 2018)) of their baseline. Before the 108 experiment mice are handled for 1 hour/day for 1 week. After the last daily session, mice 109 had free access to water for 1 hour (23 hours of water deprivation). All the experiments 110 were carried out in accordance with the directives of European Community Council 111 (2011/63/EU) and approved by the Italian Ministry of Health. We tested 6 wild-type 112 C57BL/6J (from P50 to P180, 4 female and 2 male mice, Charles River).

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### 115 3D printed operant conditioning chamber

116 The OC arena (16x16x16 cm, thickness 3 mm, Fig.1.a) is 3D printed using gray or white PLA (B06W568X1G, Technology Outlet). The 3D project is designed using FreeCAD 117 software, exported in stereolithography (STL) format, converted to G-code using Cura 118 119 (https://ultimaker.com/software/ultimaker-cura) and printed using Kentstrapper Verve 3D 120 printer (https://kentstrapper.com/stampante-3d-kentstrapper-verve/). In Fig.1.c an exploded-121 view drawing of the chamber is shown. The color coding corresponds to different 122 components of the apparatus (visual stimulation parts in red; camera holder in green; 123 syringe pump in purple). All these components are coated using epoxy transparent resin 124 (LF-L2GR-26GX, resinpro), that allows cleaning (5% ethanol in water). The arena front wall contains the elements interfacing the animal with the computer. It can be modularly 125

126 assembled to the arena and is composed by a squared frame containing the LED matrix at 127 the center, four holes for the touch buttons in the lower part, a central hole for the lick spout 128 and a hole in the upper part to connect a piezo buzzer. The touch buttons are printed using 129 graphene PLA (PLA GRAFENE 175, filoprint), and connected using conductive glue 130 (Chemtronics: CW2400) to a female pin (B07XQHD752, amazon.it) using a resistor (25 131 MOhm). A dotted grid is interposed between the LED matrix and the inside of the chamber 132 and has two roles: first, the dotted pattern restricts the visibility of the LED lights to equal 133 small circles; second, it contains a grid of walls facing the LEDs that prevents the light from 134 each source to spill over to the neighbouring dots. The LED matrix is covered with a thin 135 white plexiglass foil, so that single LED are not visible if they are off and to diffuse light 136 uniformly. The camera holder, is joint assembled on top of the frontal wall and it is designed 137 to maintain the camera at the distance necessary to image the entire arena using a 3.6 mm 138 focal length objective. The syringe pump is composed of a base that fixes the barrel of the 139 syringe into position and of a piston that slides on a stepper motor guided M8 metal screw 140 and allows to push or pull the plunger.

### 141 Hardware

142 An electronic board is mounted on a grounded metal sheet and is composed by a Raspberry 143 Pi connected via USB to an Arduino UNO (AU) board (https://store.arduino.cc/arduino-uno-144 rev3). The Raspberry Pi (<u>https://www.raspberrypi.org/products/raspberry-pi-3-model-b/</u>) 145 acts as the main computer of the setup. It executes the Python 3 script that handles the 146 structure of the experiment, performs computer vision using a Raspberry PI camera 147 (Bewinner: Bewinnertyv48w6mf5), and saves data (Fig.1.b). The AU controls sensors and 148 actuators in the OC chamber. Two touch buttons, made using conductive PLA, acts as 149 capacitive sensors and are connected to AU using coaxial cables (3mm diameter) to 150 minimize environmental noise. The main advantage of using graphene PLA resides in the 151 possibility to print different button designs (e.g. for motor impairment, nose poking, etc.). 152 There are three actuators: a LED matrix serves as display (Adafruit: 1487), a piezo buzzer 153 (Adafruit: PS1240, frequency range: 2-10kHz, 60 dB) is used as acoustic stimulator glued at 154 the top of the frontal door, and a stepper motor (amazon.it: 28BYJ-48, with ULN2003) 155 connected to a M8 screw guiding the piston of a syringe pump controlling a disposable 156 syringe (10ml) connected with a silicone tube equipped by luer tapers adapters to a blunt 157 needle (Warner instruments: SN-18) for reward delivery. This modular configuration allows 158 the proper cleaning of the delivery tubing after each session. We use an external 5V 2A DC 159 power supply (Samsung: TA10EWE) with a 1000µF capacitor to power the LED matrix and 160 the stepper motor. A diagram of the electrical wiring is shown in the Fig.1.d.

### 161 Software

### 162 AU Program

163 The code controlling the OC box is organized in four files, the file called skinner.ino contains 164 the logic of the experiment and manages the serial communication with the computer. 165 Different files are dedicated to different aspects of the program: the file called button.ino 166 contains functions to control the touch buttons and play auditory stimuli, the file called 167 ledLib.ino contains wrapper functions to control Adafruit NeoPixel library 168 (https://www.adafruit.com/product/1487) and generate simple visual stimuli easily, the third file called *stepper.ino*, contains functions to control the syringe pump using the Arduino Stepper motor library (<u>https://www.arduino.cc/en/reference/stepper</u>). In summary, to setup the AU, a user needs to download the folder containing the *.ino* files, uncompress and upload the file *skinner.ino*.

### 173 Raspberry Pi program

174 On the Raspberry Pi, a Python script controlling the experiment has been written using IDLE. 175 The program relies on a number of external libraries that are required to run all parts of the 176 script with no errors. Since the task relies on real time tracking of the animal position we use picamera and opencv libraries to acquire frames and process them using K-nearest 177 178 neighbours based Background-Foreground Segmentation (Zivkovic and van der Heijden 179 2006), a widely used algorithm for generating a foreground mask using static cameras 180 (Fig.2.a). The technique consists of two main steps, the first one is the background 181 initialization in which we use 1000 frames of the empty arena, then we set the learning rate 182 to zero and the algorithm stops updating the background so it's ready to locate reliably the 183 position of the animal with a frame rate of 20 Hz. LibSerial library is used to communicate 184 with the AU during the task sending symbolic codes and changing the state of the AU in the 185 OC chamber. We used Tkinter library to write the initial GUI to set the experimental parameters. The behavioral sequence is outlined in Fig.2.b. Virtually the chamber can be 186 187 divided into two sections: the anterior part that contains the interface between the mouse 188 and the computer, and the posterior side that is designed as an active area to activate the 189 trials. If the mouse remains in the active area for a given amount of time (1.5 seconds) the 190 trial is triggered. At this stage a visual stimulus is shown on the display and the system waits 191 for animal response. When the mouse touches one of the two buttons, an auditory feedback 192 is produced, with a tone that varies depending on whether the answer is correct (3300 Hz) or 193 wrong (2700 Hz). In case of correct answer a drop (7 µL) of water with 1% condensed milk is 194 released.

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### 196 Implementation of an LCD screen

As a proof of principle of customizability, we added a version of the OC chamber that is able to show more complex visual stimuli. This version includes an edit of the frontal wall that can host a TFT monitor (Kookye 3.5" for RPI3) and a folder (LCD\_oc\_chamber) containing code that runs on Psychopy2 (Peirce 2008), a Python package dedicated to behavioral experiments. This configuration allows to show RGB images as visual stimuli (Movie 2).

### 203 Code Accessibility

204 The code described in the paper is freely available online at 205 https://github.com/raffaelemazziotti/oc chamber . The code is also available as Extended 206 Data 1.

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### 208 The OC protocol

*Familiarization*. This phase is carried out by placing each animal in the OC box for 3 sessions of 10 minutes, spaced by at least 2 hours between each other. During this phase, a

211 liquid reward, coupled with the "correct" tone is provided manually whenever the mouse is in 212 the active area, in this way the animal learns where to find the reward and associate it with 213 the tone.

Shaping (3 days). The visual stimulus is introduced (Fig.2.c). It consists of two bright (0.9 cd/m<sup>2</sup>) blue (465-475nm) dots (5mm) that appear above the two buttons. The mouse needs to touch one of the two buttons to obtain the reward.

217 Operant task (OT, 5 days). During this phase, only one dot appears, identifying the correct button. If the mouse touches the correct button, the "correct" tone is reproduced and the 218 219 animal receives the reward. If the mouse touches the wrong button, the "wrong" tone is 220 reproduced and no liquid reward will be delivered. This procedure is shown in Fig.2.c. The 221 sequence of stimuli is balanced so that the mouse sees each case the same number of 222 times. In order to prevent perseveration with the same answer, during the first 2 days we 223 adopted an assisted procedure (Fig.2.d): the first stimulus presented is random, if the mouse 224 produces the correct answer the following stimulus is randomized, in case of wrong answer 225 instead, the system repeats the same stimulus until the mouse gives the correct answer 3 226 times.

*Follow-ups.* In order to test the ability of the mouse to recall the task we tested animals in different follow-ups, respectively at 6 days, 27 days, 3 months and 4 months approximately after the end of OT. For each recall sessions we tested mice once per day.

230 Data analysis and statistics

231 Data processing is performed using Python and the statistical analysis (Table 2) using 232 GraphPad Prism 7. To analyze mouse tracking data the arena is virtually divided into 256 233 (16x16) bins and raw exploration is z-scored to obtain relative exploration measures. To 234 quantitatively test if the mouse preferentially explores some of the bins, we constructed a 235 resampled binned exploration matrix representing chance level for each bin, randomly 236 permuting each animal exploration matrix for 100 times. The software Fritzing was used 237 to draw the wiring diagram of the electrical components. We used Rhino 6 to draw the 238 exploded version of the model.

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### 241 Behavioral Performance

Results

242 To test our system ability to detect learning curves we trained mice as depicted in the 243 protocol in Fig.3b. Table 2 reports all statistical analysis. In shaping phase, the average 244 number of trials (TR) progressively increases over time for all the subjects and specifically 245 the third day, we detect a significant increase compared to the first day. Moreover, reaction 246 times (RT) and intertrial Intervals (ITI) showed a similar trend with a significant reduction of 247 the RT starting from the second day (Fig.3a). This indicates that already at Day 2 the animals 248 started to refine the sequence of actions necessary to trigger the stimulus and produce a 249 response. Next, the results of the OT phase are shown in Fig.3c. The average TR continued 250 to grow until day Day 3 of OT. After this day, the majority of the animals performed the 251 maximum TR permitted in each session. Both RT and ITI showed a decrease with time. Indeed, RT and ITI dropped significantly during the first two days and reached a plateau by 252

253 the third day. We observed a significant difference in the percentage of correct responses 254 between the first and second day. In order to assess the retention of the test over time, we 255 tested the same mice at different time points after the end of the OT. Accuracy remained 256 stable during all time points tested, however RT showed a more complex pattern: with an initial decrease, compared to the last day of the OT, followed by an increase at 4 months. 257 258 Analyzing ITI, we detected an increase of the time between two trials at 27 days that 259 remained higher at 3 and 4 months compared to the end of the OT (Fig.3d). It is interesting 260 to note that, since touch sensors are activated from all sides, some of the variability in timing 261 performance could be explained by the development of different strategies to activate 262 sensors.

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### 264 Tracking Analysis

265 In Fig.4.a tracking traces, of all the mice, are shown with corresponding heatmaps, averaged 266 across animals (on the right) or days (bottom row), showing non-uniform exploration of the 267 OC chamber during tasks. Pixels that were not significantly explored compared to randomly 268 resampled uniform exploration values (P-values>0.05) were set to 0. The reward area was 269 the most visited place, as shown by both the animals and session average heatmaps. In the 270 bottom half of the arena there are two significant exploration spots at the corners, that 271 indicate a stereotyped strategy to activate the trial (Fig.4.b). Moreover, we analysed the 272 distance travelled by each animal inside the OC box during all the tasks. We found that, 273 throughout the course of the shaping phase, there was a significant decrease in the total 274 amount of distance travelled compared to the first day (Fig.4). Conversely, during the OT 275 phase, we detected no changes (p=0.3672). Interestingly, we found a significant correlation between timing performances and the total distance moved during shaping (Fig.4.c), this 276 suggests that about 25% ( $r^2 = 0.247$ ) of the improvement in timing performance is explained 277 by a reduction in the distance travelled and the response of the animal. In addition, no 278 279 differences in the average speed were detectable during both shaping and OT. These 280 results imply that the reduction of the RT is due to the optimization of the psychomotor 281 sequence in realizing the task rather than to a general increase of velocity of the animal. 282

## 283 Discussion

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Here we described a fully 3D printable device that performs operant conditioning on freely 285 286 moving mice while tracking the animal position in real time. We successfully trained 6 287 subjects, showing stereotyped learning curves that are highly reproducible across mice and 288 reaching more than 70% of accuracy after two days of conditioning (Movie 1). This dataset 289 demonstrate that this system is a valuable low cost alternative to available state-of-the-art 290 commercial devices, representing a good balance between performance, cost, and 291 versatility. Performances detected by our system in three sessions per day (3.97±0.11 292 trial/min with an accuracy of 84.1±1.7%) are comparable with normative values detected in 293 C57BL/6J and measured on an analogous 2 alternative forced choice task performed once 294 daily (Malkki et al. 2010). Although the LED display does not allow to design complex visual 295 patterns required to perform image recognition and classification, visual stimulation is flexible 296 enough to design simple tasks to test attention, learning, memory and other 297 neuropsychological aspects of cognition (Escobar and Pérez-Herrera 2015; D'Ausilio 2012). 298 The system is also easily customizable, as it is possible to add a LCD display guided by 299 extra Python libraries (e.g. Pygame or Psychopy). The overall cost of the chamber is around 300 160€, but can be further substantially reduced using cheaper boards compared to AU and a 301 Raspberry Pi. There are other low-cost alternatives for operant conditioning (Francis and 302 Kanold 2017; Escobar and Pérez-Herrera 2015; O'Leary et al. 2018), however, the main 303 strength of the present device is the high degree of reproducibility, since the model is standardized and can be downloaded to print the same structure with the same materials 304 305 throughout different laboratories. Secondly, it can be customized in relation with specific 306 experimental needs (e.g. very young animals). Lastly, different versions of the OC chamber 307 can be tested and shared through on-line repositories, such as Thingiverse (https://www.thingiverse.com/) and NIH Print Exchange (https://3dprint.nih.gov/). Moreover, 308 309 the OC chamber includes real time tracking of the mouse position, a feature that could be 310 used as second phenotyping measure of anxiety or stereotyped behaviors. Additionally it allows to analyse other aspects of behavior, such as inhibitory control (Munakata et al. 311 312 2011). For example, by increasing the time required to trigger a trial, it is possible to 313 measure impulsivity or reproduce neuropsychological tests used on humans like delayed 314 gratification or stop signal tasks (Pinkston and Lamb 2011; Furlong et al. 2016). It is also 315 plausible to couple the procedure with physiological recordings in freely moving conditions 316 such as imaging techniques (e.g. fiber photometry) and electrophysiology. Thanks to the 317 general-purpose input/output ports (GPIO) of both AU and Raspberry Pi boards, high precision synchronization of physiological recordings with behavioural events is accurately 318 319 integrated within experimental recording paradigms. The simplicity and modularity of the 320 apparatus can be exploited as an educational tool to train students in 3D printing and coding. 321 For these reasons, we expect that the open design of the OC chamber will be useful for 322 teaching and research as well as for further design improvements from the international 323 open hardware community.

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# 388 Captions

389 390

90 Fig\_1

391 3D printable operant conditioning apparatus. A: Left: Top-view of the apparatus. Center: 392 interface wall. Right: blue dotted stimuli, camera holder and syringe pump. B: An animal 393 during the task, the blue line delimitates the "active zone" C: An exploded view of the project 394 showing the assembling scheme. D: Circuit diagram of all the components.

396 Fig\_2

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Behavioral procedures. A: The detection of the mouse is obtained using background
subtraction from the current frame and then applying a threshold, isolating only the mouse
silhouette. B: Behavioral sequence to obtain the reward. C: Diagram showing the behavioral
procedures: during the shaping phase and the operating task. D: Flowchart of the assisted
procedure.

403 Fig 3

404 Behavioral performance. A: Performance of the shaping phase. B: Operant conditioning 405 protocol. C: Performance during the OT. D: Performance during recall.

407 Fig\_4:

Tracking analysis. A: Matrix of tracking traces of all animals per all days, with marginal heatmaps, showing spots of exploration significantly different from chance. Average heatmaps per each animal and per each day are presented in the last column and in the last row respectively. B: Relative exploration in the arena: reward area is the most frequently explored followed by corners of the active area and the central spot. C: Correlation analysis between performance and spatial tracking. D: Velocity and distance traveled during the shaping phase and the OT.

415

# 416 Tables

417 Table 1

418 Bill of materials

MATERIAL	PRICE €	VENDOR	CODE	MANUFACTURER
LED MATRIX	26.74	amazon.it	B071VJL91V	Kuman:WS01
stepper motor	3.38	<u>amazon.it</u>	B00DGNO6PI	Elegoo
PLA	16.66	amazon.it	B06W568X1G	TECHNOLOGY OUTLET
Pi camera	18.99	amazon.it	B07P8PG5MF	Bewinner: Bewinnertyv48w6mf5
Raspberry PI	44.51	amazon.it	B01CD5VC92	raspberrypi
Graphene PLA	27.50	<u>filoprint.it</u>	PLA_GRAFENE_175	Haydale
Arduino UNO	16.85	amazon.it	B07SL2W4CL	Arduino: A000066

power supply	5.69	<u>amazon.it</u>	B00UVOHJ0Y	Samsung:TA10EWE
Piezo Buzzer	1.35	<u>adafruit.co</u> <u>m</u>	PS1240	tdk
cables/wire s	2.00	off the shelf		
TOTAL	163.67			

Table 2 Statistical table

Figure	Type of test	Statistical data
Fig 3A_Average trials	RM One-way ANOVA, Dunnett's multiple comparisons post hoc	p=0.0006, post hoc Day 1 vs Day 3, p<0.001
Fig 3A_RT	as above	p=0.0002, Day 1 vs Day 2, p=0.001 and Day 1 vs Day 3, p=0.0002
Fig 3A_ITI	as above	p=0.0002, Day 1 vs Day 2, p=0.0148 and Day 1 vs Day 3, p=0.0001
Fig 3C_Average trials	as above	p=0.0046, Day 1 vs Day 3, p=0.0057; Day 1 vs Day 4, p=0.0057 and Day 1 vs Day 5, p=0.0036
Fig 3C_RT	as above	p=0.0022, Day 1 vs Day 3, p=0.0027; Day 1 vs Day 4, p=0.0011 and Day 1 vs Day 5, p=0.0275
Fig 3C_ITI	as above	p<0.0001, Day 1 vs Day 3, p=0.0004; Day 1 vs Day 4, p<0.0001 and Day 1 vs Day 5, p=0.0002
Fig 3C_Correct	as above	p = 0.0025; Day 1 vs Day 2, p = 0.0464; Day 1 vs Day 3, p = 0.0043; Day 1 vs Day 4, p = 0.0042 and Day 1 vs Day 5, p = 0.0013;
Fig 3D_Average Trials	as above	p=0.0058; Baseline vs 4 months, p=0.0042
Fig 3D_RT	as above	p<0.0001, Baseline vs 6 days, p=0.0063; Baseline vs 4 months p<0.0001
Fig 3D_ITI	as above	p<0.0001, baseline vs 27 days, p=0.0093, baseline vs 3 months, p=0.0009, baseline vs 4 months, p=0.0252
Fig 3D_Correct	as above	p=0.2290

Fig 4B_Relative_explor ation	as above	p<0.0001, Corner vs. Center, p<0.0001; Corner vs. Reward, p<0.0001, Center vs. Reward, p<0.0001
Fig 4C_Correlation_ma trix_TR vs RT	Spearman Correlation(SC)	r=-0.8223 (95% CI -0.9335 to -0.5669); R2=0.6761
Fig 4C_Correlation_ma trix_TR vs ITI	SC	r =-0.9472 (95% Cl -0.9811 to -0.8573); R2=0.8971
Fig 4C_Correlation_ma trix_ITI vs RT	SC	r=0.9209 (95% CI 0.7910 to 0.9714); R2 = 0.8480
Fig 4C_Correlation_ma trix_DIST vs RT	SC	r=0.5666 (95% CI 0.1208 to 0.8222); R2=0.3210
Fig 4C_Correlation_ma trix_DIST vs ITI	SC	r=0.4972 (95% CI 0.02450 to 0.7882); R2=0.2472
Fig 4D_Tracking_distan ce	One-way ANOVA, Holm-Sidak's post hoc	p=0.03; Day 1 vs Day 3, p=0.02

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# 426 Multimedia

427 Movie 1

428 A movie of a session with 30 trials during OT.

429 430 Movie 2

431 A proof of principle of LCD screen functioning inside the OC box, under the same432 light conditions of stimulation.

433434 Extended Data 1

435 Extended Data 1.zip contains the code for both Arduino and Raspberry Pi boards

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# eNeuro Accepted Manuscript

