

ON THE EXISTENCE OF INFINITE, NON-TRIVIAL F -SETS

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ABSTRACT. In this paper we prove a conjecture of J. Andrade, S. J. Miller, K. Pratt and M. Trinh, showing the existence of a non trivial infinite F -set over $\mathbb{F}_q[x]$ for every fixed q . We also provide the proof of a refinement of the conjecture, involving the notion of width of an F -set, which is a natural number encoding the complexity of the set.

1. INTRODUCTION

Throughout this paper, q is a prime power and I_q is the set of all monic, irreducible polynomials in $\mathbb{F}_q[x]$.

Definition 1.1. An F -set is a subset A of I_q such that for any $f(x) \in A$, all monic irreducible polynomials dividing $f(x) - f(0)$ are also in A .

It is easy to construct finite F -sets but, on the other hand, it is not a priori clear whether there exist infinite F -sets which do not coincide with I_q . We will call an F -set *non-trivial* if it is different from I_q . In this paper we are going to address [1, Conjecture 1.2]. Let us recall it here for completeness.

Conjecture 1.2. *For every prime power q , there exist an infinite, non-trivial F -set.*

In [1] the authors provide nice constructions which solve the conjecture in the special cases of q prime and congruent to 2 or 5 modulo 9. In what follows we will prove both the conjecture and a stronger statement, which takes into account the cardinality of the prime divisors of elements of the form $f(x) - f(0)$, for f in the F -set.

The paper is structured as follows. In Section 2, we outline a proof of this conjecture, by explicitly exhibiting infinite non-trivial F -sets, sieving out the cases in terms of the factorization of $q - 1$. The examples we produce are in some sense the easiest possible. This is made precise in Section 3, where we introduce the notion of *width* of an F -set. The width of an F -set is an element of $\mathbb{N} \cup \{\infty\}$ which measures the “complexity” of the F -set itself. For example, an F -set has width 0 if and only if it is finite, whereas the F -sets constructed in Section 2 have width 1. Some properties of the width are proved in Proposition 3.4. Section 4 contains two technical lemmata that enable us to build F -sets of width 2 and ∞ . Explicit examples of such sets are constructed in Section 5. We end the paper with a new Conjecture 5.2 involving the notion of width of an F -set.

2. CONSTRUCTING INFINITE F -SETS

In this section, we explain how to construct simple examples of infinite, non-trivial F -sets in $\mathbb{F}_q[x]$ for every prime power q . Recall that if $f(x) \in \mathbb{F}_q[x]$ is such that $f(0) \neq 0$, the *order* of f is defined as the smallest integer e such that $f(x) \mid x^e - 1$. See [6, Lemma 3.1] for a proof of the existence of the order. In particular, let us recall [6, Theorem 3.3] for completeness.

Theorem 2.1. *Let $f \in \mathbb{F}_q[x]$ be an irreducible polynomial of degree m such that $f(0) \neq 0$ and let $\alpha \in \mathbb{F}_{q^m}$ be one of its roots. Then the order of f equals the order of α in the multiplicative group $\mathbb{F}_{q^m}^*$.*

The following is another classical result (see [6, Theorem 3.35]) which will be useful later on.

Theorem 2.2. *Let q be a prime power. Let $f(x) \in \mathbb{F}_q[x]$ be an irreducible polynomial of degree m and order e . Let t be a positive integer such that the prime factors of t divide e but not $(q^m - 1)/e$. Assume also that $q^m \equiv 1 \pmod{4}$ if $t \equiv 0 \pmod{4}$. Then $f(x^t)$ is irreducible.*

Finally, we recall another very nice result [4, Proposition 2.3] by Nigel Boston and Rafe Jones which characterizes stable degree 2 polynomials. We state it in a slightly more specific form, which can be adapted from [3, Theorem 2.2].

Theorem 2.3. *Let \mathbb{F}_q be a finite field of characteristic $\neq 2$, let $\gamma, m \in \mathbb{F}_q$ and let $f(x) := (x - \gamma)^2 + \gamma + m \in \mathbb{F}_q[x]$. For every $k \in \mathbb{N}$, let $f_k(x)$ denote the k -th fold composition of f with itself. Then $f_k(x)$ is irreducible if and only if the set $\{-f(\gamma), f_2(\gamma), f_3(\gamma), \dots, f_{k-1}(\gamma)\}$ does not contain any square.*

Proof. In the statement of [3, Theorem 2.2], just observe that an element of a finite field is a square if and only if its norm is a square. \square

Theorem 2.4. *Let q be a prime power. Then there exists an infinite, non-trivial F -set in $\mathbb{F}_q[x]$.*

Proof. When $q = 2$, a non-trivial, infinite F -set is constructed in [1, Theorem 1.1]. Let now $q = 3$ (or, more generally, suppose that 2 is not a square in \mathbb{F}_q). Let $f(x) = x^2 - 2 \in \mathbb{F}_3[x]$, and define the following sequence: $f_0(x) = x$ and $f_k(x) := f(f_{k-1}(x))$ for every $k \in \mathbb{N}$. We claim that the set $A := \{x, x + 2, x - 2\} \cup \{f_k(x)\}_{k \geq 1}$ is an infinite F -set. First, we have to check that $f_k(x)$ is irreducible for every k . This follows directly from Theorem 2.3 as $-f(0) = f_k(0) = 2$ for every $k \geq 2$. Next, the reader should notice that $f_k(0)$ can be easily controlled for any k : $f_0(0) = 0$, $f_1(0) = -2$ and finally $f_k(0) = 2$ for any $k \geq 2$, as already observed.

We claim now that for $k \geq 2$ the factorization of $f_k(x) - 2$ can be controlled as follows:

$$f_k(x) - 2 = (x - 2)(x + 2)f_0(x)^2 \cdots f_{k-2}^2(x) \text{ for } k \geq 2.$$

Let us show this by induction. For $k = 2$ we have $f_2(x) - 2 = (x^2 - 2)^2 - 4 = (x - 2)(x + 2)f_0(x)^2$. Let the claim be true for k . We have that

$$\begin{aligned} f_{k+1}(x) - 2 &= f_k^2(x) - 4 = (f_k - 2)(f_k + 2) = \\ &= (x - 2)(x + 2)f_0(x)^2 \cdots f_{k-2}^2(x)(f_{k-1}(x)^2 - 2 + 2), \end{aligned}$$

which completes the proof. Hence, A is an infinite F -set and it is non-trivial as only three elements of A have odd degree.

Finally, let q be a prime power different from 2 and 3. Let α be a generator of the multiplicative group \mathbb{F}_q^* , and let $f(x) = x - \alpha$. Then the order of $f(x)$ is clearly $q - 1$. Now pick a prime l dividing $q - 1$ in the following way: if $q \equiv 3 \pmod{4}$, choose l to be odd, otherwise choose any l . Then by Theorem 2.2, the polynomial $f(x^{l^k})$ is irreducible for every $k \in \mathbb{N}$. The set $A := \{x, f(x^{l^k})\}_{k \in \mathbb{N}}$ is therefore an infinite, non-trivial F -set. \square

The reader should notice that the same type of strategy to address the analogous problem over the integers (for additional details see [1, Section 1]) is beyond the reach of known results. In fact, in order to apply the same strategy as in the polynomial case, one would require in particular the existence of a polynomial of the form $f(x) = kx^2 + 1$, where $k \in \mathbb{N}$, such that $f(n)$ is prime for infinitely many n . Unfortunately, the existence of polynomials in $\mathbb{Z}[x]$ of degree > 1 which assume infinitely many prime values is still an open question (see for example [2]).

3. F -SETS AND THEIR WIDTH

The examples constructed in the proof of Theorem 2.4 are, for $q \neq 2, 3$, in some sense “minimal”. In fact, the set of all the irreducible factors of $f(x) - f(0)$, where f runs over all the elements of the F -set, is finite. It is therefore natural to ask whether, for every fixed q , one can construct an F -set in $\mathbb{F}_q[x]$ where the subset of irreducible divisors (of elements of the form $f(x) - f(0)$, for f in the F -set) is infinite. This happens for the examples constructed in [1]. The following definitions formalize the notion of minimality for an F -set.

Definition 3.1. Let $A \subseteq I_q$ be an F -set. We define the *nullity* of A as

$$N(A) = \{f(x) \in A : f(x) \nmid g(x) - g(0), \forall g(x) \in A\}.$$

It is easy to check that if A is an F -set, then $A \setminus N(A)$ is again an F -set. Thus, given an F -set A , it is possible to define a sequence of F -sets as follows:

$$A_0 := A$$

$$A_n := A_{n-1} \setminus N(A_{n-1}) \quad \forall n \geq 1.$$

This gives us a filtration on A :

$$A_0 \supseteq A_1 \supseteq \dots \supseteq A_n \supseteq \dots$$

which we will call *nullity filtration*.

Definition 3.2. The minimal $n \in \mathbb{N}$ such that A_n is finite, if it exists, is called *width* of A , and is denoted by $w(A)$. If such n does not exist, we set $w(A) = \infty$.

Notice that an F -set A is finite if and only if $w(A) = 0$. Therefore, Theorem 2.4 can be restated as follows: for every prime power q , there exists a non-trivial F -set of non-zero width. In particular, the F -sets constructed in the proof of the theorem have width 1 when $q \neq 2, 3$, and infinite width when $q = 2, 3$. It is clear that F -sets of width 1 are in some sense the simplest possible infinite F -sets.

Example 3.3. The set I_q of all monic irreducible polynomials in $\mathbb{F}_q[x]$ has infinite width. In fact, let $f(x) \in I_q$ and pick any $a \in \mathbb{F}_q^*$. By Dirichlet's theorem for $\mathbb{F}_q[x]$ (see for example [5]), there exists at least one (in fact there exist infinitely many) polynomial $g(x)$ such that $h(x) := g(x) \cdot xf(x) + a$ is irreducible. Thus, $f(x) \mid h(x) - h(0)$ and this shows that $N(A) = \emptyset$. Therefore we have that $A_n = A$ for every n , which implies that $w(A) = \infty$.

The same argument used in the example above shows that if A is an infinite F -set, then either $A = I_q$ or $I_q \setminus A$ is infinite. Indeed, suppose that $B \subseteq I_q$ is a finite set such that $A \sqcup B = I_q$ and let $f(x) \in B$. Fix $a \in \mathbb{F}_q^*$. Since there are infinitely many $g(x) \in \mathbb{F}_q[x]$ such that $g(x) \cdot xf(x) + a \in I_q$ is irreducible, it follows that there are infinitely many $g(x)$ such that $g(x) \cdot xf(x) + a \in A$. But since A is an F -set and $f(x) \mid g(x) \cdot xf(x)$, it follows that $f(x) \in A$. Therefore, $B = \emptyset$.

The next proposition recollects some of the basic properties of the nullity and the width of an F -set. Notice that any union or intersection of F -sets is again an F -set.

Proposition 3.4. *Let A, B be F -sets, then we have:*

- (1) $N(A) \cup N(B) \subseteq N(A \cup B)$;
- (2) $N(A) \cap N(B) \supseteq N(A \cap B)$;
- (3) *if $A \subseteq B$, then $w(A) \leq w(B)$. If moreover $B \setminus A$ is finite, then $w(A) = w(B)$;*
- (4) *if $w(A)$ and $w(B)$ are both finite, then $w(A \cup B)$ is finite;*
- (5) *if A is infinite and $N(A)$ is finite, then $w(A) = \infty$.*

Proof. The claims (1) and (2) follow immediately from the definition of nullity. Let $\{A_n\}_{n \in \mathbb{N}}$ and $\{B_n\}_{n \in \mathbb{N}}$ be the nullity filtrations of A and B respectively. To prove (3), first note that $N(B) \cap A \subseteq N(A)$. Thus, if $f(x) \in A \setminus N(A)$, then $f(x) \notin N(B)$, since otherwise we would have $f(x) \in N(B) \cap A$. This shows that $A \setminus N(A) \subseteq B \setminus N(B)$. The same argument shows that $A_n \subseteq B_n$ for every $n \in \mathbb{N}$, and this implies that $w(A) \leq w(B)$. If $|B \setminus A| < \infty$, notice the following:

$$B \setminus N(B) = (A \cup (B \setminus A)) \setminus N(B) = (A \setminus N(B)) \cup ((B \setminus A) \setminus N(B)).$$

Now $(A \setminus N(B)) \setminus (A \setminus N(A)) = N(A) \setminus N(B)$, but if $f(x) \in N(A) \setminus N(B)$, then $f(x) \mid g(x) - g(0)$ for some $g \in B \setminus A$, and therefore $N(A) \setminus N(B)$ is finite. This shows that $A \setminus N(B)$, and hence $B \setminus N(B)$, differs from $A \setminus N(A)$ by a finite set. Applying the same argument with A_n and B_n in place of A and B shows that $B_n \setminus A_n$ is finite for all $n \in \mathbb{N}$ and the claim follows.

For point (4), notice first that if C is an F -set, then $w(C)$ is infinite if and only if the following holds: for every $t \in \mathbb{N}$ there exists $r \geq t$ and a set $\{f_1(x), \dots, f_r(x)\} \subseteq C$ such that:

$$f_1(x) \neq x \quad \text{and} \quad f_i(x) \mid f_{i+1}(x) - f_{i+1}(0) \quad \forall i \in \{1, \dots, r\}.$$

In fact, assume first that $w(C) = \infty$ and let $\{C_n\}_{n \in \mathbb{N}}$ be the nullity filtration of C . If there exists $m \in \mathbb{N}$ such that $N(C_m) = \emptyset$, the claim is obvious since then there exists an infinite set $\{f_1(x), \dots, f_n(x), \dots\} \subseteq C$ with $f_i(x) \mid f_{i+1}(x) - f_{i+1}(0)$ for all i . Otherwise, fix $t \in \mathbb{N}$ and pick $f_1(x) \in N(C_t)$, so that $f_1(x) \neq x$. Since $f_1(x) \notin N(C_{t-1})$, there exists $f_2(x) \in C_{t-1}$ such that $f_1(x) \mid f_2(x) - f_2(0)$. Now $f_2(x) \notin N(C_{t-2})$, thus there exists $f_3(x) \in C_{t-2}$ such that $f_2(x) \mid f_3(x) - f_3(0)$, and so on until we get a set $\{f_1(x), \dots, f_t(x)\}$ as required. Vice versa, note that if $w(C) < \infty$, then there exists $n \in \mathbb{N}$ such that $C_n = \{x\}$. Therefore no sequence $\{f_1(x), \dots, f_r(x)\}$ with the property described above can have more than n elements, as the smallest F -set containing the sequence is a subset of C and it cannot have larger width. Assume now that $w(A), w(B) < \infty$. If it holds that $w(A \cup B) = \infty$, then for every $t \in \mathbb{N}$ there exists $r \in \mathbb{N}$ such that $r \geq t$ and a set $\{f_1(x), \dots, f_r(x)\} \subseteq A \cup B$ as above. Now notice that if $f_r(x) \in A$ (resp. B) by definition of F -set we have that $f_i(x) \in A$ (resp. B) for every $i \leq r$. Since t was arbitrary, this shows that $w(A) = \infty$ or $w(B) = \infty$, contradiction.

Finally, let us prove (5). For $n \geq 1$, let $f(x) \in N(A_n)$. By the definition of nullity, there exists $g(x) \in A_{n-1}$ such that $f(x) \mid g(x) - g(0)$ and $g(x) \in N(A_{n-1})$. This shows that $\deg f(x)$ is strictly smaller than $\deg g(x)$ for all $g(x) \in N(A_{n-1})$. Since $N(A)$ is finite, this argument proves inductively that $N(A_n)$ is finite for every n . Consider the sequence defined by

$$d_n := \max_{f \in N(A_n)} \{\deg f(x)\}.$$

We have showed that $\{d_n\}_{n \in \mathbb{N}}$ is strictly decreasing; hence there exists $j \in \mathbb{N}$ such that $N(A_j) = \emptyset$. Since A_j differs from A by a finite set, the claim follows by (3). \square

An F -set A has width ≤ 1 if and only if the set $A \setminus N(A) = \{f(x) \in A : f(x) \mid g(x) - g(0) \text{ for some } g(x) \in A\}$ is finite. It is therefore an interesting task to construct F -sets which have width greater than 1.

4. PRELIMINARY RESULTS

In this section we prove some ancillary results which will allow the construction of F -sets of width strictly greater than 1. However, we state them separately, as they might have other applications.

Proposition 4.1. *Let p be a prime number. Let K be a field containing a primitive p -th root of 1. Let $f(x) \in K[x]$ be a monic, irreducible polynomial such that $f(0)$ is not a p -th power. If $p = 2$, assume in addition that -1 is a square in K or that $\deg f$ is even. Then for every $k \geq 0$, the polynomial $f(x^{p^k})$ is irreducible.*

Proof. We prove the proposition by induction. For $k = 0$, there is nothing to do. Let the claim be true for $0, \dots, k - 1$ and consider $f(x^{p^k})$. The proof can be reduced to proving the following statement:

if $f(x^{p^k})$ is reducible, then it can be written as $g(x^p)h(x^p)$ with $\deg g, \deg h > 0$.

Indeed, notice that if the statement above is true, this concludes the proof as $f(x^{p^k}) = g(x^p)h(x^p)$ and then setting $x^p = y$ we get $f(y^{p^{k-1}}) = g(y)h(y)$, which is a contradiction by the induction hypothesis.

Let now $\xi \in K$ be a primitive p -th root of 1. Suppose one has the factorization $f(x^{p^k}) = g(x)h(x)$, with g, h monic, g irreducible and $\deg g, \deg h > 0$. Note that $g(x)h(x) = g(\xi x)h(\xi x)$.

We have to distinguish two cases:

1) $g(x)$ is of the form $s(x^p)$ for some $s(x) \in K[x]$ of positive degree. Then $f(x^{p^k}) = s(x^p)h(x)$, and therefore $h(x) = h(\xi x)$. This shows that $h(x)$ is of the form $t(x^p)$ for some $t(x)$ of positive degree. In this case, we are done.

2) $g(x)$ is not of the form $s(x^p)$. In this case, since $g(x)$ is irreducible, we have that $\gcd(g(\xi^i x), g(\xi^j x)) = 1$ for every $i, j \in \{0, \dots, p - 1\}$ such that $i \neq j$. In fact, if this was not the case, then we would have $g(x) = g(\xi^i x)$ for some $i \in \{1, \dots, p - 1\}$ and this would imply that $g(x)$ has the form $s(x^p)$ for some $s(x) \in K[x]$ of positive degree, which contradicts the fact that we are in case (2). Now let $i \in \{1, \dots, p - 1\}$. Since $g(x)h(x) = g(\xi^i x)h(\xi^i x)$, it follows that $g(\xi^i x) \mid h(x)$ as $g(\xi^i x)$ is coprime with $g(x)$. As this holds for any $i \in \{1, \dots, p - 1\}$, we have $h(x) = g(\xi x)g(\xi^2 x) \dots g(\xi^{p-1} x)u(x)$ for some $u(x) \in K[x]$, so that

$$f(x^{p^k}) = g(x)g(\xi x) \dots g(\xi^{p-1} x)u(x).$$

Notice that $u(x) = u(\xi x)$, so if $\deg u > 0$ we are done again. Assume that this is not the case, i.e. let $u(x) = u$ be a constant. If $p > 2$, the coefficient of the leading term of $g(x)g(\xi x) \dots g(\xi^{p-1} x)$ is

$$\xi^{\deg g \cdot \sum_{i=1}^{p-1} i} = \xi^{\deg g \left(\frac{p-1}{2}\right)p} = 1,$$

which implies that $u = 1$ because $f(x)$ is monic. This yields a contradiction because the constant term of $g(x)g(\xi x) \dots g(\xi^{p-1} x)$ is a p -th power and it coincides with $f(0)$. If $p = 2$, then $u \in \{1, -1\}$ because f, g, h are all monic. If -1 is a square in K , then the constant term of $g(x)g(-x)u(x)$ is a square in any case, which is a contradiction. If the degree of f is even, then $4 \mid \deg f(x^{2^k})$ since $k \geq 1$ and thus $\deg g$ is even, implying that $u = 1$ and that again the constant term of $g(x)g(-x)u$ is a square, which is again a contradiction. \square

Remark 4.2. In the case $K = \mathbb{F}_q$ it is easy to see that Proposition 4.1 can be deduced from Theorem 2.2. On the other hand our proposition holds for any field K .

Lemma 4.3. *Let $p > 2$ be a prime number, $n \in \mathbb{N}_{>0}$ and $q = p^n$. Then we have the following.*

- (1) Let $a \in \mathbb{F}_q$, let k be a non-negative integer and let $f(x) = x^{2^k} - a \in \mathbb{F}_q[x]$. Then every irreducible factor of $f(x)$ either has degree 1 or is of the form $x^{2^{t+1}} + bx^{2^t} + c$ for some $t \in \mathbb{N}$ and $b, c \in \mathbb{F}_q$.
- (2) Let $s, m \in \mathbb{N}$ and $2 \nmid m$. Let $g(x)$ be an irreducible polynomial of order $2^s \cdot m$. Then $g(x)$ divides a polynomial of the form $x^{2^k} - a$, for some $k \in \mathbb{N}$ and $a \in \mathbb{F}_q$, if and only if $m \mid q - 1$.

Proof. Let us prove (1). If $a = 0$ the claim is obvious, therefore suppose $a \in \mathbb{F}_q^*$.

We first show that for any fixed $u \in \mathbb{F}_{q^2}^*$ and non-negative integer k , every irreducible factor of $g_k(x) := x^{2^k} - u \in \mathbb{F}_{q^2}[x]$ is of the form $x^{2^i} + w$, for some $i \in \mathbb{N}$ and $w \in \mathbb{F}_{q^2}$. Once again, we proceed by induction. If $k = 0$, the claim is trivially true, therefore let us assume it for k and consider $g_{k+1}(x) = x^{2^{k+1}} - u$. If $u = w^2$ for some $w \in \mathbb{F}_{q^2}$, then $g_{k+1}(x) = (x^{2^k} + w)(x^{2^k} - w)$, and by the induction hypothesis we are done. On the other hand, if u is not a square in \mathbb{F}_{q^2} , then also $-u$ is not a square (as -1 is always a square in \mathbb{F}_{q^2}) and therefore the polynomial $x^2 - u$ is irreducible in $\mathbb{F}_{q^2}[x]$. Thus, the claim follows by Proposition 4.1.

Now consider $f(x)$ as a polynomial in $\mathbb{F}_{q^2}[x]$. We denote by $\phi_q: \mathbb{F}_{q^2}[x] \rightarrow \mathbb{F}_{q^2}[x]$ the Frobenius morphism defined by $\sum a_i x^i \mapsto \sum a_i^q x^i$. Let $g(x)$ be an irreducible factor of $f(x)$ in $\mathbb{F}_{q^2}[x]$. Then $f(x) = g(x)h(x)$ for some $h(x) \in \mathbb{F}_{q^2}[x]$ and therefore $f(x) = \phi_q(f(x)) = \phi_q(g(x))\phi_q(h(x))$. This shows that $\phi_q(g(x))$ is also a factor of $f(x)$. By what we proved earlier, $g(x) = x^{2^i} + u$ for some $u \in \mathbb{F}_{q^2}$. If $\phi_q(g(x)) = g(x)$, this means that $u \in \mathbb{F}_q$, and therefore $g(x) \in \mathbb{F}_q[x]$ is an irreducible factor of $f(x)$ over $\mathbb{F}_q[x]$, and we are done. If $\phi_q(g(x)) \neq g(x)$, since both polynomials are monic and $g(x)$ is irreducible over $\mathbb{F}_{q^2}[x]$, it follows that also $\phi_q(g(x))$ is irreducible over $\mathbb{F}_{q^2}[x]$. This shows that $g(x)\phi_q(g(x))$ is an irreducible factor of $f(x)$ over $\mathbb{F}_q[x]$. It is immediate to see that $g(x)\phi_q(g(x))$ has the required form:

$$g(x)\phi_q(g(x)) = x^{2^{i+1}} + (u + u^q)x^{2^i} + uu^q.$$

Now let us prove (2). First recall that, by Theorem 2.1, if $\deg g = t$ and α is a root of g , the order of g equals the order of α in the multiplicative group $\mathbb{F}_{q^{2t}}^*$. Suppose first that $g(x) \mid x^{2^k} - a$, for some $k \in \mathbb{N}$ and $a \in \mathbb{F}_q$. Let α be a root of $g(x)$. Then $\alpha^{2^k} = a$ and therefore there exists $r \in \mathbb{N}$ with $r \mid q - 1$ such that $\alpha^{2^k r} = 1$, and the claim follows. Conversely, suppose that $\alpha^{2^s m} = 1$. Since $m \mid q - 1$ and $\mathbb{F}_{q^{2^t}}^*$ is cyclic, it follows that $\alpha^{2^s} = a$ for some $a \in \mathbb{F}_q$, as there is only one subgroup of order m of $\mathbb{F}_{q^{2^t}}^*$, and it is entirely contained in \mathbb{F}_q . It follows that $g(x) \mid x^{2^s} - a$. \square

5. CONSTRUCTING F -SETS OF WIDTH 2 AND ∞

Using the results of the previous section, we now prove a stronger version of Theorem 2.4. In particular, we show that we can always construct an infinite, non-trivial F -set A for which the set of prime divisors of all the elements of the form $f(x) - f(0)$ (for $f \in A$) is again infinite.

Theorem 5.1. *Let p be a prime number, n a non negative integer and $q = p^n$. Then:*

- a) *if $q \neq 2, 3$, there exists an F -set of width 2;*

b) there exists an F -set of infinite width in one of the following cases:

- i) $p \equiv 2, 5 \pmod{9}$ and $n = 1$;
- ii) $p \equiv 5 \pmod{8}$ and n is odd;
- iii) $q \equiv 3 \pmod{4}$.

Proof. a) Let us choose a prime l in the following way.

$$l = \begin{cases} 2 & \text{if } q \equiv 1 \pmod{4} \\ \text{any odd prime dividing } q-1 & \text{if } q \equiv 3 \pmod{4} \\ 3 & \text{if } q = 4 \\ \text{any prime } \geq 5 \text{ dividing } q-1 & \text{if } q = 2^n, n \geq 3. \end{cases}$$

Note that a prime as in the fourth case always exists in virtue of Catalan's Conjecture (now Mihăilescu's theorem, see [7]), which states that the only integer solution of the equation $x^a - y^b = 1$, with $x, y > 0$ and $a, b > 1$, is $x = 3, y = 2, a = 2, b = 3$.

We claim that there exist $\alpha, \beta \in \mathbb{F}_q$ such that:

- both α, β are not l -powers;
- the polynomial $x^2 + \alpha x + \beta$ is irreducible.

We will show that this is possible for any choice of l as above.

Fix any $\alpha \in \mathbb{F}_q^*$ and consider the bijection

$$\begin{aligned} \varphi_\alpha: \mathbb{F}_q &\rightarrow \mathbb{F}_q \\ y &\mapsto \alpha^2 - 4y. \end{aligned}$$

When $l = 2$ and $p > 2$, notice that $\varphi_\alpha(0)$ is a square. On the other hand, if γ is not a square, $\varphi_\alpha(\gamma) \neq 0$. Since the set of non-zero squares and that of non-squares have the same cardinality, there must be some non-square β such that $\varphi_\alpha(\beta)$ is not a square.

If $l > 2$ and $p > 2$, the subset of the elements of \mathbb{F}_q^* which are not l -powers has cardinality $\frac{l-1}{l}(q-1)$, which is strictly larger than the number of squares in \mathbb{F}_q^* . Thus, there exists a non- l -power β such that $\varphi_\alpha(\beta)$ is not a square. This shows that, chosen any non- l -power α , there exists a non- l -power β such that $\alpha^2 - 4\beta$ is not a square, and therefore the polynomial $x^2 + \alpha x + \beta$ is irreducible.

If $l = 3, p = 2$ and $n = 2$, let $\mathbb{F}_4 = \mathbb{F}_2(\alpha)$, where α is a root of $x^2 + x + 1$. Then one checks that α is not a cube and $x^2 + \alpha x + \alpha$ is irreducible.

Finally, let $p = 2, n \geq 3$ and $l \geq 5$. The number of monic, irreducible polynomials of degree 2 in $\mathbb{F}_q[x]$ is $\frac{q^2 - q}{2}$. The number of polynomials of the form $x^2 + \alpha x + \beta$ where both α, β are not l -powers is

$$\left(q - 1 - \frac{q-1}{l} \right)^2.$$

Thus our claim is proved whenever

$$\frac{q^2 - q}{2} + \left(q - 1 - \frac{q-1}{l} \right)^2 > q^2 - 1,$$

since $q^2 - 1$ is the number of all polynomials of the forms $x^2 + \alpha x + \beta$, with $(\alpha, \beta) \neq (0, 0)$. This inequality is equivalent to

$$S(q, l) := \left(\frac{1}{2}l^2 - 2l + 1\right)q^2 + \left(-\frac{5}{2}l^2 + 4l - 2\right)q + (l-1)^2 + l^2 \geq 0.$$

Let

$$A(l) := \frac{1}{2}l^2 - 2l + 1, \quad B(l) := -\frac{5}{2}l^2 + 4l - 2 \quad \text{and} \quad C(l) := (l-1)^2 + l^2.$$

As $l \geq 5$, we have that $A(l) > 0$ and

$$\frac{-B(l) + \sqrt{B(l)^2 - 4A(l)C(l)}}{2A(l)} < 12$$

which shows that $S(q, l) > 0$ whenever $n \geq 4$ and $l \geq 5$. One checks that $S(8, 7) = 49 > 0$, and the claim is complete.

The main ingredient of the construction is now ready, as we can always produce an irreducible monic polynomial $f(x) = x^2 + \alpha x + \beta$ where α and β are not l -powers.

Let $A := \{x\} \cup \{x^{l^k} + \alpha\}_{k \in \mathbb{N}} \cup \{f(x^{l^k})\}_{k \in \mathbb{N}}$. By Proposition 4.1, the polynomials $x^{l^k} + \alpha$ and $f(x^{l^k})$ are irreducible for every $k \geq 0$. Thus A is an infinite, nontrivial F -set. Note that $N(A) = \{f(x^{l^k})\}_{k \in \mathbb{N}}$ by construction. Thus, $A_1 = A \setminus N(A) = \{x\} \cup \{x^{l^k} + \alpha\}_{k \in \mathbb{N}}$ and $A_2 = \{x\}$, implying that $w(A) = 2$.

b) When $p \equiv 2, 5 \pmod{9}$, an F -set of infinite width is constructed in [1, Theorem 1.1].

When $p \equiv 5 \pmod{8}$ and n is odd, 2 is not a square in \mathbb{F}_q and therefore the F -set A constructed in the proof of Theorem 2.4 has infinite width, since $N(A) = \emptyset$.

Let now $q \equiv 3 \pmod{4}$ and $A := \{f \in I_q : f \mid x^{2^k} - a \text{ for some } k \in \mathbb{N} \text{ and } a \in \mathbb{F}_q\}$. By (1) of Lemma 4.3, this is an infinite, non-trivial F -set. Let us prove that $N(A) = \emptyset$, so that $w(A) = \infty$. This amounts to show that for every $f(x) \in A$, there exist $d, e \in \mathbb{F}_q$ and $s \in \mathbb{N}$ such that:

- $f(x) \mid x^{2^s} + d$.
- $x^{2^{s+1}} + dx^{2^s} + e \in A$;

By construction, $f(x)$ divides a polynomial of the form $x^{2^s} + d$ for some $d \in \mathbb{F}_q$. Hence it is enough to find $e \in \mathbb{F}_q$ such that $x^{2^{s+1}} + dx^{2^s} + e$ is in A . In order to do so, we first prove a weaker statement and then show that the general fact easily follows by Proposition 4.1.

Claim: *there exists $e \in \mathbb{F}_q \setminus \mathbb{F}_q^2$ such that $h(x) = x^2 + dx + e$ is irreducible and has order $2^l \cdot n$ with $2 \nmid n$ and $n \mid q - 1$.*

Proof of the claim. Let r be the largest positive integer such that $2^r \mid q^2 - 1$. Notice that since $q \equiv 3 \pmod{4}$, we have that $r \geq 3$. Let $\alpha \in \mathbb{F}_{q^2}^*$ be any element of order 2^r . Clearly α is not a square as otherwise 2^{r+1} would divide $q^2 - 1$. In addition, $\text{Tr}(\alpha)$, namely the trace of α , is non-zero, since otherwise the minimal polynomial of α would be of the form $x^2 + u$, for some $u \in \mathbb{F}_q$. This would imply that $\alpha^2 \in \mathbb{F}_q$ and this would imply in turn the existence of an element of \mathbb{F}_q of order 2^{r-1} with $r - 1 \geq 2$, which is in contradiction with the assumption $q \equiv 3 \pmod{4}$. On the other hand, since α is

not a square in \mathbb{F}_{q^2} , its norm $N(\alpha)$ is not a square in \mathbb{F}_q (this is a standard fact for finite fields). Let $u := \text{Tr}(\alpha)$ and consider the element $\beta := \frac{d}{u}\alpha$. Then $\text{Tr}(\beta) = d$ and $e := N(\beta) = \frac{d^2}{u^2}N(\alpha)$ is again not a square in \mathbb{F}_q . Finally, the order of β is $2^l \cdot n$ for some $l \in \mathbb{N}$ and $n \mid q - 1$ by construction. This concludes the proof of the claim as $x^2 + dx + e$ is the minimal polynomial of β .

Now we are ready to complete the proof. Consider $h(x) = x^2 + dx + e$ as in the claim: as e is not a square and the degree of $h(x)$ is even, we can apply Proposition 4.1, getting that $h(x^{2^s}) = x^{2^{s+1}} + dx^{2^s} + e$ is irreducible. One observes also that the order of $h(x)$ is $2^{l+s}n$ and $n \mid q - 1$. By Lemma 4.3 it follows that $h(x)$ divides $x^{2^{l+s}} - a$, as required.

Notice that if $q = 3$, we have two different examples of F -sets of infinite width: the one just constructed above and the one described in the proof of Theorem 2.4. \square

It is natural to formulate the following generalization of Conjecture 1.2.

Conjecture 5.2. *For every prime power q , there exist non-trivial F -sets in $\mathbb{F}_q[x]$ of arbitrary width.*

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