

The nature of the Lyman α emitter CR7: a persisting puzzle

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Accepted 2017 February 13. Received 2017 February 9; in original form 2016 December 22

ABSTRACT

The peculiar emission properties of the $z \sim 6.6$ Ly α emitter COSMOS redshift 7 (CR7) have been initially interpreted with the presence of either a direct collapse black hole (DCBH) or a substantial mass of Pop III stars. Instead, updated photometric observations by Bowler et al. seem to suggest that CR7 is a more standard system. Here, we confirm that the original DCBH hypothesis is consistent also with the new data. Using radiation-hydrodynamic simulations, we reproduce the new infrared photometry with two models involving a Compton-thick DCBH of mass $\approx 7 \times 10^6 M_{\odot}$ accreting (a) metal-free ($Z = 0$) gas with column density $N_H = 8 \times 10^{25} \text{ cm}^{-2}$ or (b) low-metallicity gas ($Z = 5 \times 10^{-3} Z_{\odot}$) with $N_H = 3 \times 10^{24} \text{ cm}^{-2}$. The best-fitting model reproduces the photometric data to within 1σ . Such metals can be produced by weak star-forming activity occurring after the formation of the DCBH. The main contribution to the *Spitzer*/IRAC 3.6 μm photometric band in both models is due to He I/He II $\lambda\lambda 4714, 4687$ emission lines, while the contribution of [O III] $\lambda\lambda 4959, 5007$ emission lines, if present, is sub-dominant. Spectroscopic observations with *JWST* will be required to ultimately clarify the nature of CR7.

Key words: black hole physics – galaxies: photometry – cosmology: observations – dark ages, reionization, first stars – early Universe.

1 INTRODUCTION

Several observations of high- z active galactic nuclei (AGNs; e.g. Mortlock et al. 2011 and Wu et al. 2015) have revealed the presence of supermassive black holes (SMBHs, with mass in excess of $10^9 - 10^{10} M_{\odot}$) close to the end of the epoch of reionization ($z \sim 7$). To date, there is no observational evidence of the progenitors of these cosmic behemoths. Moreover, the detection of $z > 6$ SMBHs is in tension with the standard theory of black hole growth. If Eddington-limited accretion is assumed, the time required to grow such SMBHs from stellar-mass seeds ($\sim 10^2 M_{\odot}$) born out of the first population of stars (Pop III, Haiman 2013) is longer than the Hubble time at $z = 7$ (~ 800 Myr).

To bypass this time crunch, a possibility is to form SMBHs starting from more massive seeds ($\sim 10^4 - 6 M_{\odot}$, Lodato & Natarajan 2006; Devecchi & Volonteri 2009; Volonteri 2010). These massive seeds, presumably formed at $z \gtrsim 10$ (Yue et al. 2014), can be generated by several mechanisms. In particular, the direct collapse black hole (DCBH) scenario (Bromm & Loeb 2003; Shang, Bryan & Haiman 2010; Johnson et al. 2012) is becoming very popular. The collapse of a metal-free atomic-cooling halo (with a virial temperature $T_{\text{vir}} \gtrsim 10^4$ K) may lead to the formation of DCBHs

with a typical mass around $10^5 M_{\odot}$, if the collapse happens in the presence of a strong (Sugimura, Omukai & Inoue 2014) flux of Lyman–Werner photons (energy $h\nu = 11.2 - 13.6$ eV) dissociating the H_2 and thus preventing gas fragmentation.

In addition to provide a straight solution to the SMBH growth problem, the DCBH scenario is appealing for several reasons: (i) the model naturally adapts to the physical conditions of the early Universe, (ii) the predicted DCBH mass range may allow detections by current or near-future surveys and (iii) some galaxy properties (e.g. photometry, gas column density and metallicity, emission line properties) can be used to unveil the presence of a DCBH. Although no detection of early SMBH progenitors has been confirmed, Pacucci et al. (2016) have proposed that two $z \gtrsim 6$ objects in the CANDELS/GOODS-S field, also observed in X-rays by *Chandra*, are the best DCBH candidates to date.

Interestingly, Pallottini et al. (2015) have suggested that a $z \approx 6.6$ object named COSMOS redshift 7 (CR7), the brightest Ly α emitter discovered so far (Matthee et al. 2015; Sobral et al. 2015), could be powered by a DCBH with an initial mass $\sim 10^5 M_{\odot}$. In fact, the expected DCBH spectral energy distribution (SED) nicely reproduces the peculiar spectrum of CR7 in its component A (see Sobral et al. 2015). In addition, the DCBH model accounts for the (i) very strong Ly α ($\gtrsim 8.5 \times 10^{43} \text{ erg s}^{-1}$) and He II $\lambda 1640$ ($\sim 2.0 \times 10^{43} \text{ erg s}^{-1}$) emission lines, and the (ii) non-detection (within the instrumental sensitivity) of metal lines. Finally, such a

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model is also consistent with the X-ray upper limit set by Elvis et al. (2009) on this source. Following Pallottini et al. (2015), several works have investigated the possible identification of CR7 with a DCBH (Agarwal et al. 2016; Dijkstra, Gronke & Sobral 2016; Hartwig et al. 2016; Smidt, Wiggins & Johnson 2016; Smith, Bromm & Loeb 2016; Visbal, Haiman & Bryan 2016). However, very recently Bowler et al. (2016), hereafter B16, have obtained deeper observations of CR7 in the optical, near-infrared (IR) (UltraVISTA DR3) and mid-IR (SPLASH survey) bands, also providing the photometry of the three individual CR7 components A, B and C measured on the *Hubble Space Telescope*/WFC3 data. The component A of CR7 is the one thought to host the object responsible for the peculiar emission (Pop III stars or DCBH). The other two components, B and C, are instead populated by older (i.e. redder) stars, which might have triggered the formation of the DCBH in the component A by providing the necessary Lyman–Werner flux. B16 claim that the new photometry cannot be reproduced by either a Pop III stellar population or a DCBH synthetic spectra, for which they adopt the Agarwal et al. (2016) model. Moreover, they suggest that the IRAC 3.6 μm band might be contaminated by the [O III] $\lambda\lambda 4959, 5007$ emission lines. The presence of the [O III] line has been *inferred from the photometry*, and not detected. If confirmed, the presence of metals might be in contrast with the vanilla DCBH scenario described above. As alternative explanations, they propose that CR7 can be classified as a more standard low-mass, narrow-line AGN (which would also explain the lack of radio and X-ray emission) or a young, low-metallicity ($\sim 2 \times 10^{-3} Z_{\odot}$) starburst with the presence of binaries.

In this Letter, we show that the updated photometry of CR7 is well explained by the DCBH model presented by Pacucci & Ferrara (2015). Moreover, we provide constraints on the physical properties of this object, as the DCBH mass, accreting gas column density and metallicity. We also discuss how a DCBH in the latest evolutionary phases is essentially indistinguishable from a faint AGN.

2 SED SIMULATIONS AND PHOTOMETRY

We adopt the radiation-hydrodynamic code described in Pacucci & Ferrara (2015) and Pacucci et al. (2015b) to compute the SED of a DCBH. In this section, we summarize the physical and numerical implementation of our simulations, along with the calculation of the SED and the related photometry.

2.1 Physical framework

A high- z DCBH, with initial mass $M_{\bullet}(t=0)$, is placed at the centre of a dark matter halo with total mass (baryonic and dark matter) M_h and virial temperature $T_{\text{vir}} = T_{\text{vir}}(M_h, z) \sim 10^4$ K (Barkana & Loeb 2001). In particular, at $z \sim 10$, $T_{\text{vir}} \sim 10^4$ K corresponds to $M_h \sim 10^8 M_{\odot}$. The gas component of the host halo initially follows an isothermal density profile $\rho(r) \propto (r/a)^{-2}$, where $a \sim 2$ pc is the core radius of the baryonic matter distribution.

Our one-dimensional radiation-hydrodynamic code evolves self-consistently the standard system of ideal, non-relativistic Euler's equations for a gas that is accreting radially on to the central black hole. The spatial resolution for our simulations is $\sim 10^{-3}$ pc.

The black hole accretes mass from the inner regions of the host halo within the transition radius (see Pacucci, Volonteri & Ferrara 2015a): the accretion rate, $\dot{M}_{\bullet} = 4\pi r^2 \rho |v|$ (v is the velocity of the gas) is self-regulated by the combined effects of gravity, gas pressure and radiation pressure. The accretion rate generates an emitted bolometric luminosity $L_{\text{bol}} \equiv \epsilon c^2 \dot{M}_{\bullet}$, where ϵ

is the radiative efficiency of the inflow (varying between ~ 0.01 and ~ 0.1). The radiation pressure accelerates the gas via $a_{\text{rad}}(r) = \kappa(\rho, T) L_{\text{bol}}(r) / (4\pi r^2 c)$, where $\kappa(\rho, T)$ is the gas opacity (Begelman, Rossi & Armitage 2008).

To summarize, our code computes the frequency-integrated radiative transfer via a two-stream approximation method coupled with hydrodynamics, also solving the energy equation with appropriate cooling and heating terms. We perform two sets of simulations, in which the halo gas has either (i) zero-metallicity ($Z = 0$, i.e. primordial composition with a standard helium fraction $Y_p \approx 0.247$), or (ii) $Z = 10^{-4} - 5 \times 10^{-2} Z_{\odot}$, where Z_{\odot} is the solar metallicity. See Section 3.2 for the rationale of a DCBH model extended to a low-metallicity environment.

2.2 SED and photometry

We post-process the simulation outputs with the code CLOUDY (Ferland et al. 2013) to compute the frequency-dependent radiative transfer through the host halo, necessary to produce the emerging spectrum. The input data for the CLOUDY code are the following: (i) the spatial profiles for hydrogen number density and temperature, (ii) the source spectrum of the central object, (iii) the bolometric luminosity of the source and (iv) the gas metal content. The source spectrum, extending from the far-IR to hard X-rays, is a standard AGN spectrum (Yue et al. 2014) dependent on the black hole mass, and therefore evolving with time as M_{\bullet} increases.

To compute the photometry of our DCBH model in the required bands, we convolve our synthetic emerging spectrum with the transmissivity of four wide-band filters used for the component A of CR7 by B16: YJ_{110} , H_{160} , IRAC-1 (3.6 μm), IRAC-2 (4.5 μm).

3 FITTING THE PHOTOMETRY OF CR7

In this section, we compare the DCBH photometry resulting from our model with the one measured by B16 for CR7 (component A): the likelihood between the model and the observation is expressed via a χ^2 probability. The parameter space has been investigated in the range $10^4 \leq M_{\bullet}(M_{\odot}) \leq 5 \times 10^7$, $10^{-4} \leq Z(Z_{\odot}) \leq 5 \times 10^{-2}$, $10^{24} \leq N_H(\text{cm}^{-2}) \leq 5 \times 10^{26}$ by using 25 logarithmic bins per parameter. The interpolation of the resulting grid is shown in Figs 1 and 2.

3.1 The zero-metallicity case

The parametric study in the space $M_{\bullet}-N_H$ is shown in the left-hand panel of Fig. 1, while the best-fitting model is shown in the right-hand panel. With this model, we obtain a fairly good best fit, i.e. $\mathcal{P}(\chi^2) = 0.71$.

For $Z = 0$, the main driver of the IRAC-1 IR excess is the He I line at $\lambda = 4714$ Å rest frame, which falls roughly at the centre of the 3.6 μm band for an object at $z \sim 6.6$, followed by the H β line. Such He line corresponds to the transition from level 1s2p to level 1s4s. There might be also a contribution from the He II line at $\lambda = 4687$ Å rest frame, which also falls very close to the He I $\lambda 4714$ line. In the IRAC-2 band, the main contribution comes from the strong H α line. This result clearly shows that the contribution from the [O III] line is not necessary, in contrast with the statement made by B16 that the new photometry of CR7 cannot be fitted by current DCBH models, for which they adopted the Agarwal et al. (2016) model. Our DCBH model, instead, is able to reproduce the new photometry with a good accuracy, in a $Z = 0$ environment, in overall agreement with the classical model for DCBH formation.

of CR7 that, since the era when its central DCBH possibly formed, by $z \sim 6.6$ could have experienced mergers and/or accrete material polluted by external galaxies, that typically rise the metallicity of the intergalactic medium to $Z \sim 10^{-3} Z_{\odot}$ (Pallottini et al. 2014; Smith et al. 2015).

Natarajan et al. (2016) investigated how the presence of a small amount of metals ($10^{-3} Z_{\odot} - 10^{-2} Z_{\odot}$) modifies the spectrum of the source. Such analysis shows that variations of metallicity and column density are degenerate: it is possible to obtain the same optical depth to high-energy photons with a small column ($N_H \lesssim 10^{25} \text{ cm}^{-2}$) of enriched gas ($Z \gtrsim 0.01 Z_{\odot}$), or with a larger column ($N_H \gtrsim 10^{25} \text{ cm}^{-2}$) of lower metallicity gas ($Z \lesssim 0.01 Z_{\odot}$). The high-energy ($E \gtrsim 1 \text{ keV}$) absorption translates into a higher IR re-emission, due to different physical processes: the two-photon emission is increased by a larger absorbing column density, while Auger-like processes are enhanced by a higher metallicity. Because of such degeneration, it is possible to fit the photometry of CR7 with a model that has a column density lower than the ones investigated in Section 3.1 and a non-negligible metallicity.

The photometry dependence on M_{\bullet} and Z is shown in the left-hand panel of Fig. 2. The best-fitting model (Fig. 2, right-hand panel) is characterized by $M_{\bullet} \sim 7 \times 10^6 M_{\odot}$, $Z \sim 5 \times 10^{-3} Z_{\odot}$ and $\mathcal{P}(\chi^2) = 0.92$. The mass is reached after $\sim 92 \text{ Myr}$ of accretion on to a DCBH of initial mass $\sim 10^5 M_{\odot}$. The gas column density is assumed to be $N_H \sim 3 \times 10^{24} \text{ cm}^{-2}$, in line with theoretical predictions for DCBHs (see e.g. the discussion in Pacucci et al. 2015a) and in accordance with our previous estimate for CR7 (Pallottini et al. 2015). Such small metal pollution makes it possible to reproduce the observed IR emission in the $3.6 \mu\text{m}$ and $4.5 \mu\text{m}$ bands and it is compatible with the upper limits set by Sobral et al. (2015): $\text{He II}/[\text{O III}]\lambda 1663 > 3$ and $\text{He II}/\text{C III}\lambda 1908 > 2.5$. Noticeably, the $\text{He I}/\text{He II} \lambda\lambda 4714, 4687$ lines fall at the centre of the IRAC-1 band for an object at $z \sim 6.6$: their contribution to the photometry at $3.6 \mu\text{m}$ is then maximal. The low-metallicity gas in our model does not result in very strong metal lines. While in our models the $[\text{O III}]$ line is severely subdominant with respect to the $\text{He I}/\text{He II}$ lines, in B16 such metal line (along with $\text{H}\beta$) is advocated to explain the excess in the $3.6 \mu\text{m}$ band. Given the canonical $\text{H}\alpha/\text{H}\beta \sim 2.87$ ratio (Osterbrock & Ferland 2006), the expected value of the $[3.6] - [4.5]$ colour would be close to zero, if no additional contributions from nebular lines were present. The blue ($[3.6] - [4.5] \sim -1.2$) colour measured by B16 implies the presence of a nebular line in the IRAC-1 band. This has been identified by B16 as the $[\text{O III}]$ line yielding a total $E W_0(\text{H}\beta + [\text{O III}]) \gtrsim 2000 \text{ \AA}$, also following previous inferences (e.g. Osterbrock & Ferland 2006). We have shown instead that $\text{He I}/\text{He II}$ lines arising in a metal-free or low- Z gas can equally well explain the observed photometry. Because of the absence of high-resolution spectra in the IRAC-1 and IRAC-2 bands, it is currently impossible to discriminate between the dominance of metal lines or $\text{He I}/\text{He II}$ lines. A spectroscopic analysis of this source carried out with *JWST* in these bands will be necessary to understand the nature of the energy source of CR7.

Finally, we predict an $\text{He II} \lambda 1640/\text{Ly}\alpha \sim 0.31$ line ratio and an $\text{He II} \lambda 1640$ equivalent width of $\sim 88 \text{ \AA}$. Such values well match the ones measured by Sobral et al. (2015), i.e. a ratio of 0.23 ± 0.1 and $E W_0(\text{He II} \lambda 1640) = 80 \pm 20 \text{ \AA}$. The $E W_0(\text{He II} \lambda 1640)$ predicted by our model is larger than the one reported by B16, but still consistent within 1.6σ . To conclude, our model can reproduce with excellent accuracy the IR photometry of CR7 with a DCBH accreting gas with a largely sub-solar ($\sim 5 \times 10^{-3} Z_{\odot}$) metallicity, and hence, without strong metal line contamination of the IRAC bands.

4 DCBH AND FAINT AGN AT $z \lesssim 6$: JUST SEMANTICS?

In B16, the possibility that CR7 is powered by a DCBH was discarded in favour of a more classical low-mass, narrow-line AGN model or, in other words, a faint AGN.

Faint AGNs are objects of great interest, since they may play a major role in the process of reionization (Giallongo et al. 2015) and they could also have important implications concerning the abundance and mass of SMBH seeds and their early growth (Volonteri 2012). In the most common classification of faint AGNs, they are point-like sources detected in the X-rays and with an overall X-ray luminosity ($2 - 10 \text{ keV}$) $L_X \lesssim 10^{44} \text{ erg s}^{-1}$ (Giallongo et al. 2015). The black holes powering these sources are either intermediate-mass objects ($\sim 10^5 M_{\odot}$) accreting at nearly the Eddington rate, or SMBHs ($\gtrsim 10^7 M_{\odot}$) accreting at very low rates ($\dot{M}/\dot{M}_{\text{Edd}} \lesssim 0.01$). The same geometry of the accretion disc surrounding these objects largely varies depending on the accretion rate. For objects accreting at nearly the Eddington rate, a standard α -disc (Shakura & Sunyaev 1976) model should be realistic. For objects with $\dot{M}/\dot{M}_{\text{Edd}} \lesssim 0.01$, the accretion disc should inflate and become advection dominated (or ADAF, advection dominated accretion flows, Rees et al. 1982). Also the absorbing column densities of these objects may largely vary as a nearly independent parameter, affecting their X-ray output. Thus, the category of faint AGN encompasses a large group of objects, with very different features and with the only common observational constraint of having a relatively low X-ray luminosity.

The theoretically predicted physical properties of DCBHs are rather different. They are expected to be objects of low or intermediate mass ($< 10^6 M_{\odot}$ at their formation), accreting at or above the Eddington rate and with very large absorbing column densities ($\gtrsim 10^{25} \text{ cm}^{-2}$, see e.g. Pacucci et al. 2015a and references therein).

With particular regard to the low-redshift Universe ($z \lesssim 6$), the observational discrimination between DCBHs and faint AGNs is far from being trivial. An astrophysical black hole is completely defined by its mass (and spin). However, information of its seed mass is eventually washed out by the accretion history: hints on its origin must be extracted from the properties of the host galaxy. In general, while a DCBH is a newly formed object, a faint AGN is an evolved one. A DCBH could be considered to enter the AGN category when a substantial stellar component merges with or forms into the host halo, or because external pollution is in place. Conversely, an AGN has to be interpreted as an advanced stage of the black hole evolution, which started from a seed that may have formed by a plethora of different processes. Analysing the combined properties of the black hole and its host halo, in any moment between the seed stage and the AGN stage, it could be possible to understand which process drove the formation of the original seed. The main discriminant between a newly formed DCBH and a more evolved (faint) AGN would be the gas metallicity of the host halo. The time needed for a black hole seed of $\sim 10^5 M_{\odot}$ to reach the predicted mass for CR7 is $\sim 95 \text{ Myr}$ in both our models. Star formation activity should have started on a much shorter time-scale, about 5 Myr for a typical molecular cloud of density $\sim 100 \text{ cm}^{-3}$. None the less, the current presence of a Lyman–Werner flux (generated by the components B and C) above the critical threshold (see Pallottini et al. 2015) should have delayed it so far. Hence, major star-forming activity is not expected to have occurred in the galaxy hosting CR7. Episodic star formation may have been triggered by external pollution or minor mergers, and could have manifested itself in the small amount of metals predicted in our low- Z model.

To conclude, the real question is not if CR7 is a DCBH or a low-mass, narrow-line AGN, but whether it hosts a black hole and, if so, which process is responsible for the formation of its original seed. An alternative to the DCBH interpretation may be a Pop III starburst (Sobral et al. 2015; Visbal et al. 2016), albeit this scenario encounters many difficulties (Yajima & Khochfar 2016; Visbal, Bryan & Haiman 2017).

5 CONCLUSIONS

By performing a series of radiation-hydrodynamic simulations of the accretion process on to high- z black hole seeds, we have showed that the updated photometry of the $z \sim 6.6$ Ly α source CR7 (see Sobral et al. 2015 and B16) is well reproduced by a DCBH model. In particular, we are able to reproduce the CR7 photometry in the YJ , H , IRAC-1 and IRAC-2 bands with high fidelity. Recently Agarwal et al. (2017) proposed a model similar to our $Z \neq 0$ scenario. However, their best fit is consistent with the B16 photometry only to within $\sim 3\sigma$, while our results are consistent with the same data to within 1σ .

Since the gas-metallicity effect on the IR photometry is degenerate with its column density, we investigated two models of a Compton-thick DCBH accreting (a) metal-free and (b) low-metallicity gas. For $Z = 0$, we found a best-fitting model for which $N_H \sim 8 \times 10^{25} \text{ cm}^{-2}$. In the low-metallicity environment, the best solution implies $Z \sim 5 \times 10^{-3} Z_\odot$, assuming $N_H \sim 3 \times 10^{24} \text{ cm}^{-2}$. In both cases, the DCBH mass is $\sim 7 \times 10^6 M_\odot$, reached after ~ 95 Myr of accretion, in overall agreement with other previous predictions, e.g. Dijkstra et al. (2016). This value is also consistent with the maximum mass achievable by a black hole accreting in an isolated halo, predicted in Pacucci, Natarajan & Ferrara (2017). While the black hole mass affects the luminosity of the source, the overall photon distribution of the outgoing spectrum is mainly controlled by the metallicity and the column density. The net photometry of the accreting object is degenerate in the two-dimensional parameter space of metallicity and column density, but in principle this degeneracy might be broken by the addition of some spectral information, like the Ly α and the He II line properties. In fact, their overall output should be mainly affected by the column density and not by the metallicity of the gas. Since the goal of this Letter is to show that on its own the current photometry of CR7 is insufficient to discriminate between different scenarios, we postpone this investigation to future work.

While B16 suggested that the main contribution to the 3.6 μm photometry should come from the [O III] emission line, our model shows instead that He I/He II lines are the main contributors in both cases, i.e. the [O III] emission, if present, is sub-dominant. The strong helium line has been associated with both a Pop III starburst (Sobral et al. 2015) or with the DCBH scenario (Pallottini et al. 2015). None the less, it is also a characteristic of low-luminosity AGNs in highly star-forming galaxies (Bär et al. 2016) and of the narrow-line region of low-mass AGNs (Ludwig et al. 2012).

To conclude, we discussed and compared the different properties of DCBHs and faint AGNs. We suggested that at $z \lesssim 6$ the differences between these classes of objects are blurred, since AGNs are fundamentally a later stage of the evolution of DCBHs. The measurement of the (alleged) black hole mass, absorbing column density and, most importantly, metallicity of the host halo will classify CR7 as a member of one of these two classes of objects. Deep spectroscopic observations, likely using the NIRSpec instrument aboard *JWST*, are required to finally shed light on the CR7 puzzle.

ACKNOWLEDGEMENTS

We thank Rebecca Bowler and Eli Visbal for their valuable comments. FP acknowledges the ADAP grant No. MA160009.

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